Certified Mergeable Replicated Data Types

"KC" Sivaramakrishnan

joint work with

Vimala Soundarapandian, Adharsh Kamath and Kartik Nagar





Collaborative Apps











Collaborative Apps

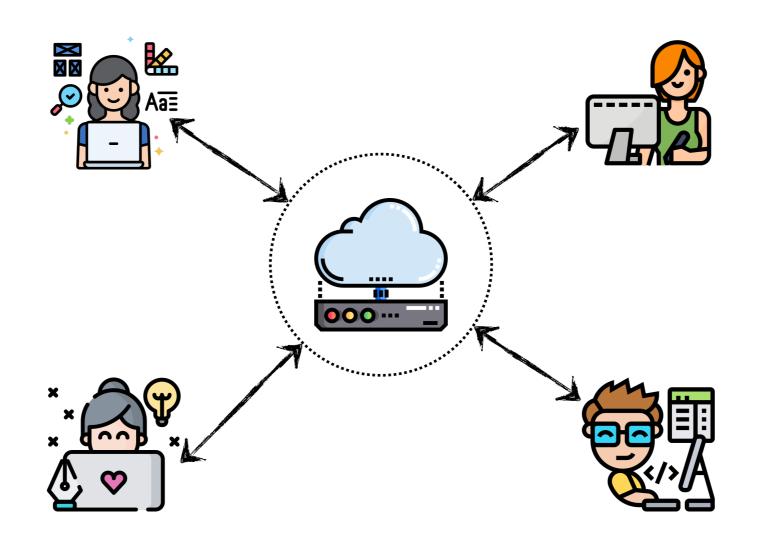












Network Partitions

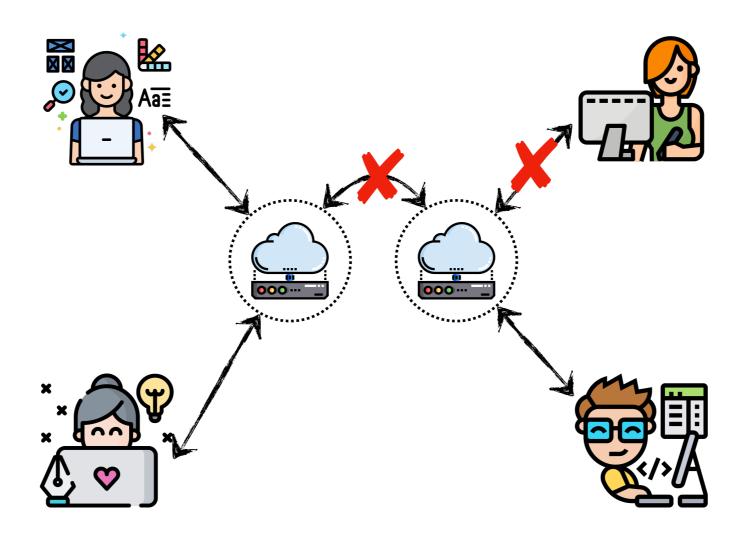












Local-first software

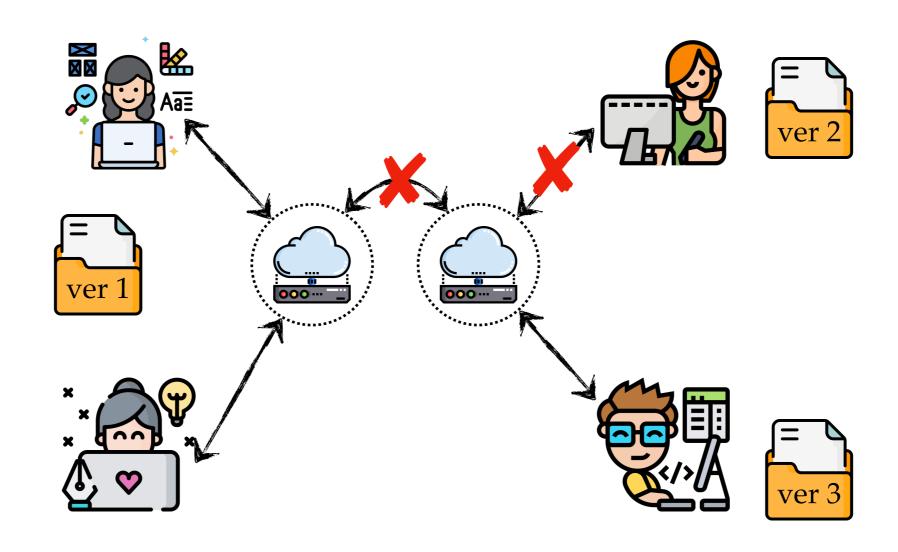












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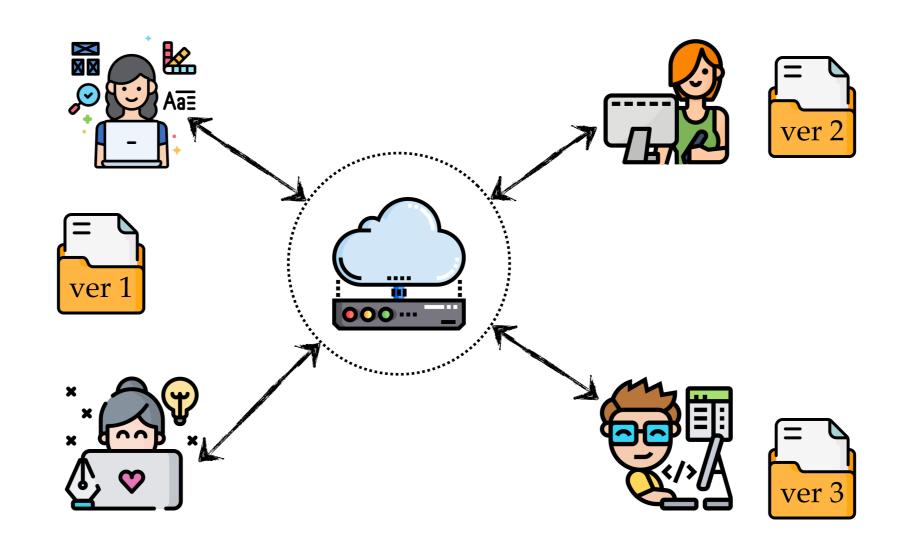












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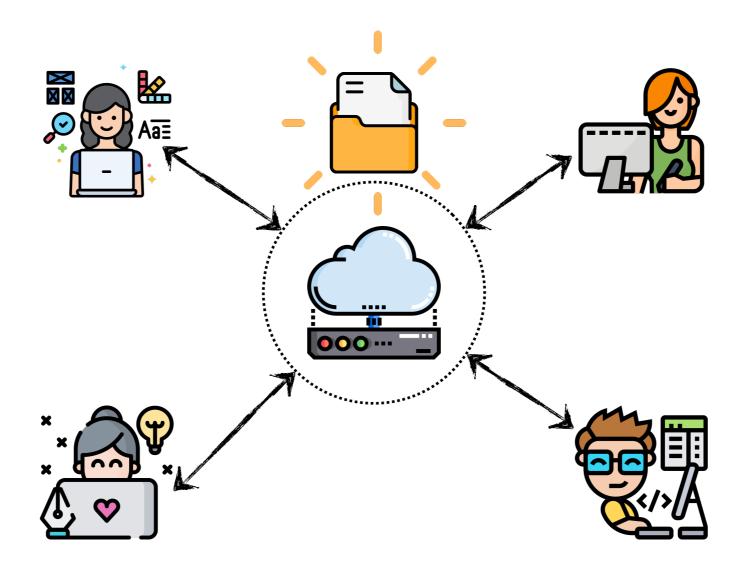




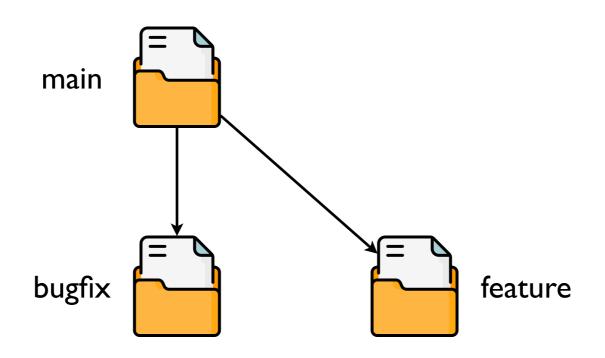




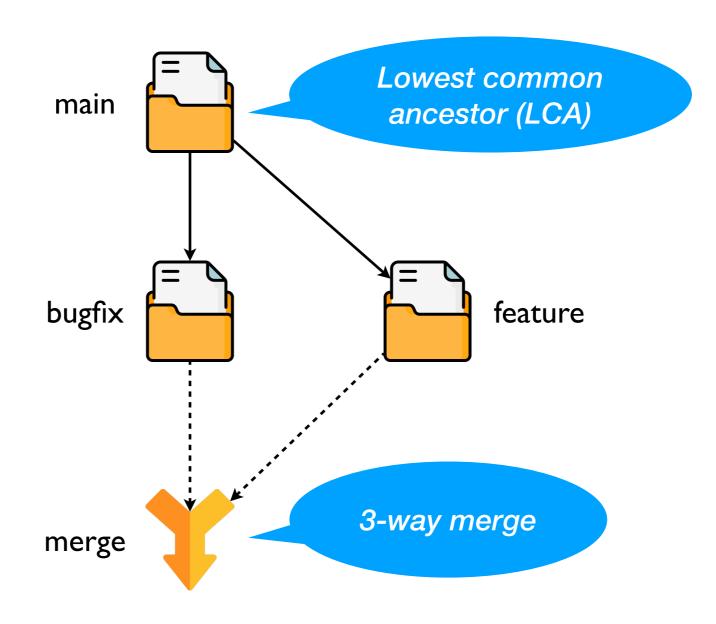




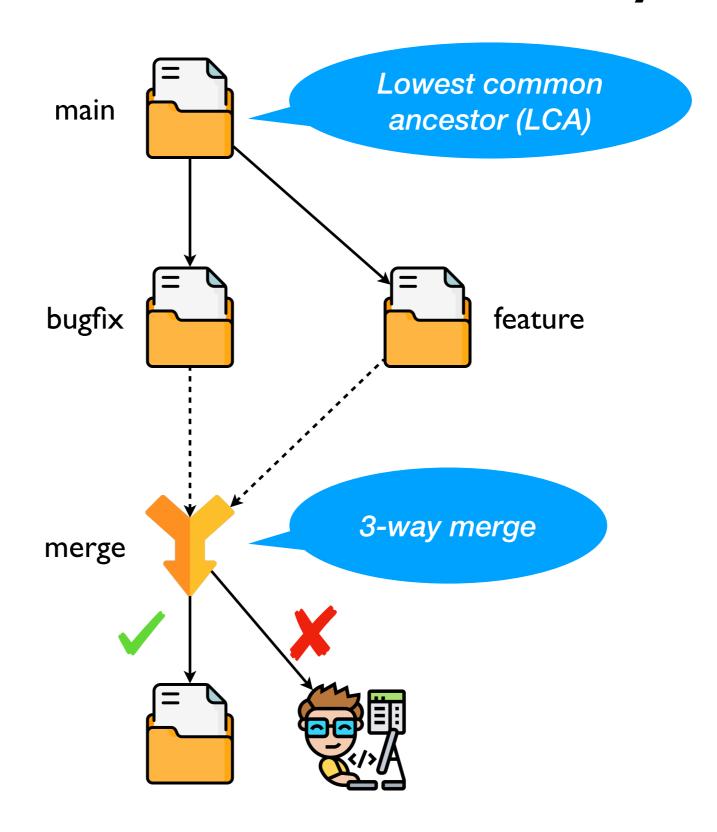
Distributed Version Control Systems



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- MRDTs DVCS for data types rather than just text files
- Sequential data types + 3-way merge = replicated data type!

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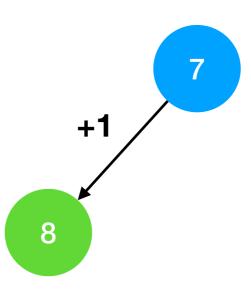
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module Counter: sig
 type t
 val read : t -> int
 val add : t -> int -> t
 val mult : t -> int -> t
 val merge : lca:t -> v1:t -> v2:t -> t
end = struct
 type t = int
  let read x = x
 let add x d = x + d
  let mult x n = x * n
 let merge ~lca ~v1 ~v2 =
   lca + (v1 - lca) + (v2 - lca)
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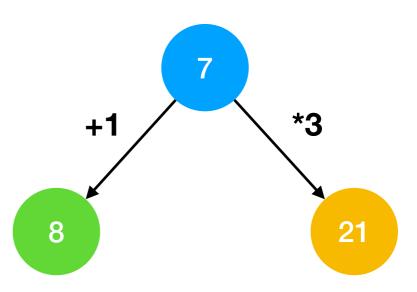
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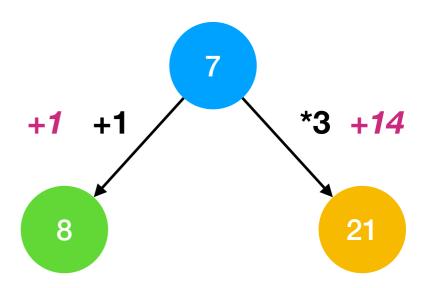
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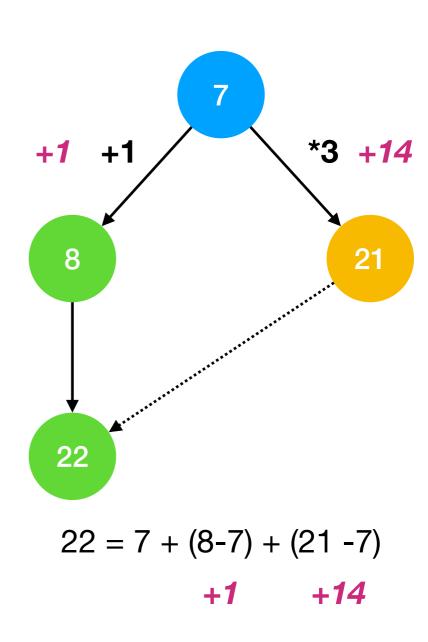
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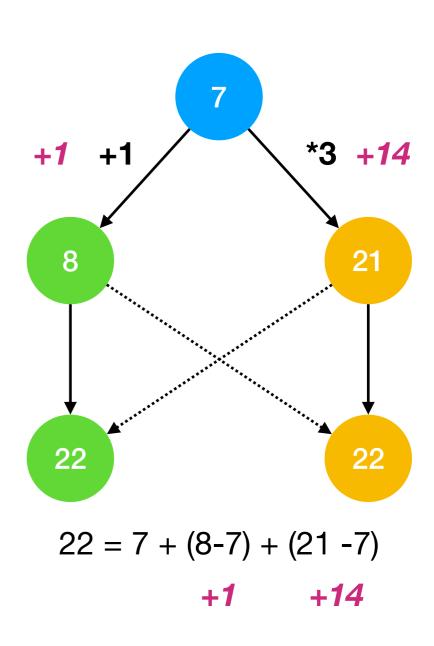
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Does the 3-way merge idea generalise?

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Sort of...

 OR-set — add-wins when there is a concurrent add and remove of the same element

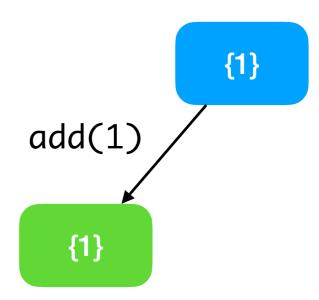
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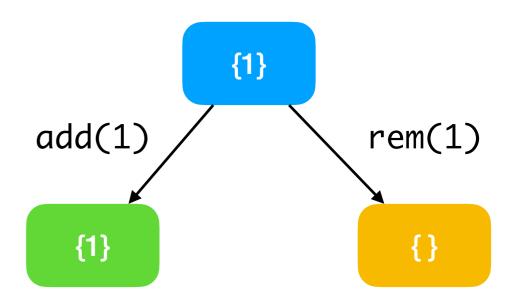


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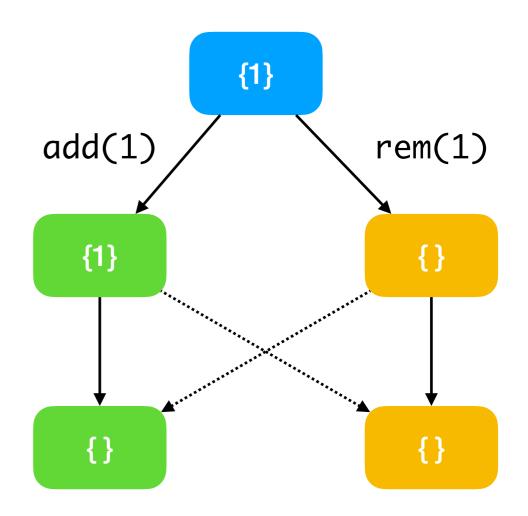
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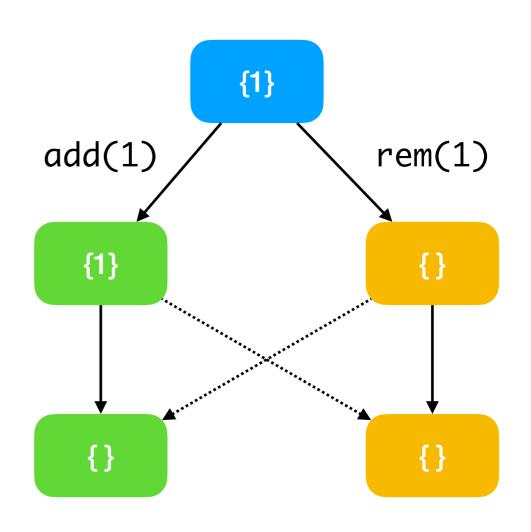
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```
{ } \cup (\{1\} - \{1\}) \cup (\{\} - \{1\})
= \{ } \cup \{ } \cup \{ }
= \{ } \(\) (expected \{1\})
```



 OR-set — add-wins when there is a concurrent add and remove of the same element

Kaki et al. "Mergeable Replicated Data Types", OOPSLA 2019



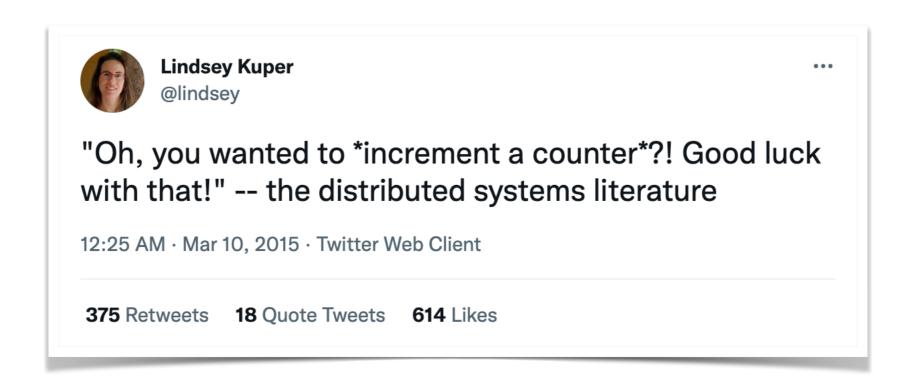
• Convergence is not sufficient; Intent is not preserved



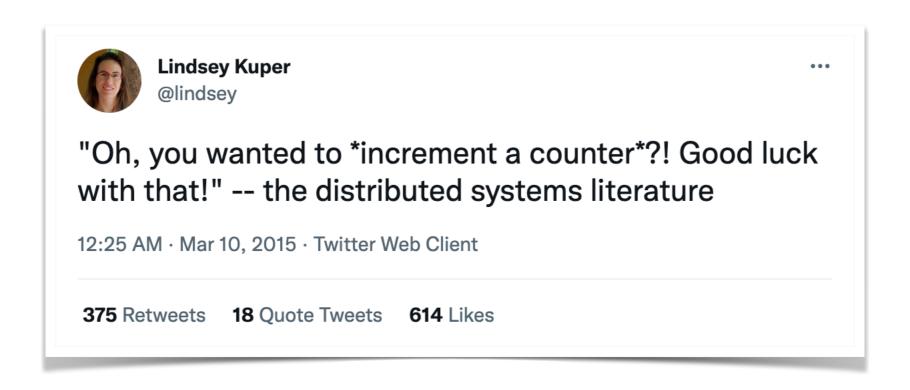
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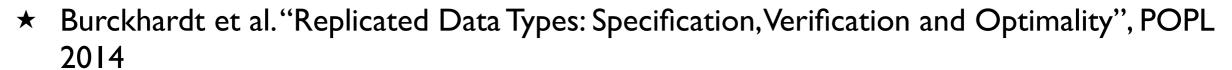


Mechanization to bridge the gap between spec and impl

- An F* library implementing and proving MRDTs
 - ★ https://github.com/prismlab/peepul



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- Replication-aware simulation to connect specification with implementation

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- Composition of MRDTs and their proofs!

Peepul — Certified MRDTs

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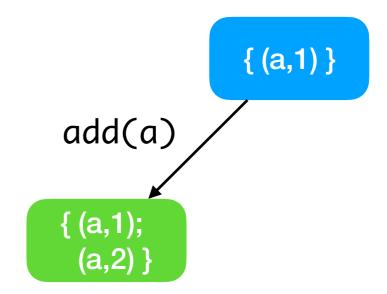


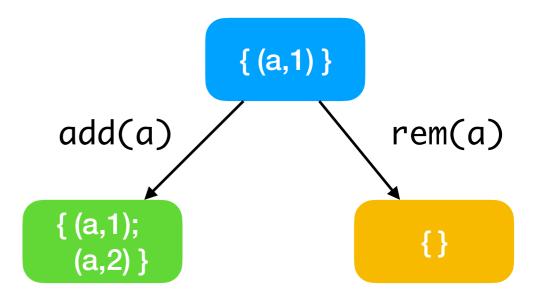


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- Composition of MRDTs and their proofs!
- Extracted RDTs are compatible with Irmin a Git-like distributed database

Discriminate duplicate additions by associating a unique id

{ (a,1) }





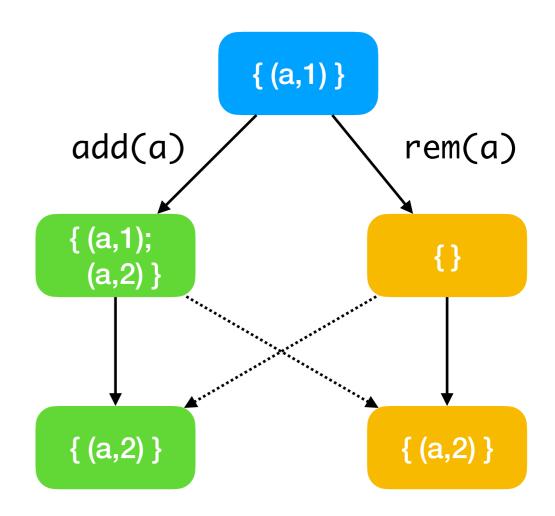
```
{ }

∪ ( { (a,1); (a,2) } - { (a,1) })

∪ ( { } - { (a,1) } )

= { } ∪ { (a,2) } ∪ { }

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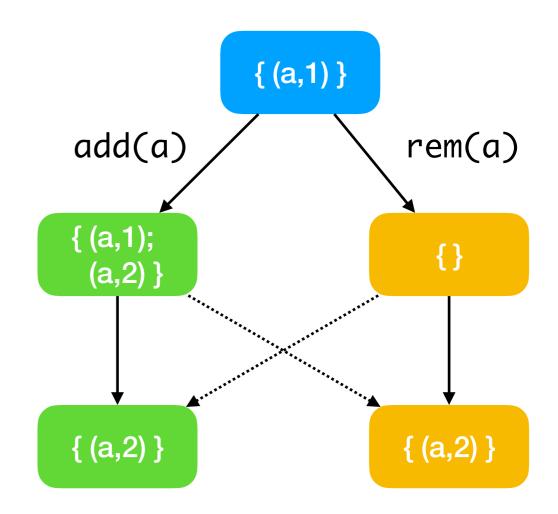
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MRDT implementation

$$D_{\tau} = (\Sigma, \sigma_0, do, merge)$$



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1: \Sigma = \mathcal{P}(\mathbb{N} \times \mathbb{N})

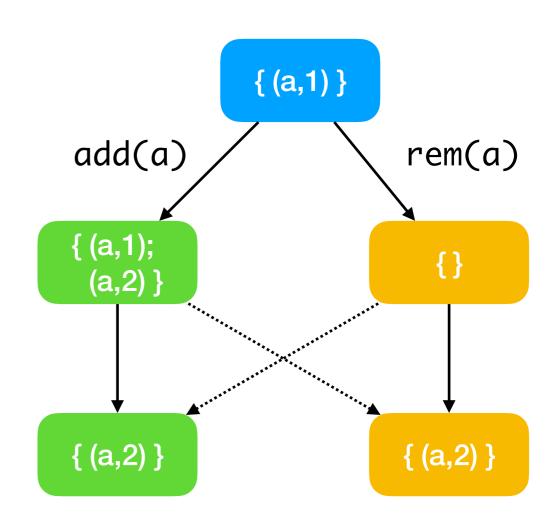
2: \sigma_0 = \{\}

3: do(rd, \sigma, t) = (\sigma, \{a \mid (a, t) \in \sigma\})

4: do(add(a), \sigma, t) = (\sigma \cup \{(a, t)\}, \bot)

5: do(remove(a), \sigma, t) = (\{e \in \sigma \mid fst(e) \neq a\}, \bot)

6: merge(\sigma_{lca}, \sigma_a, \sigma_b) = (\sigma_{lca} \cap \sigma_a \cap \sigma_b) \cup (\sigma_a - \sigma_{lca}) \cup (\sigma_b - \sigma_{lca})
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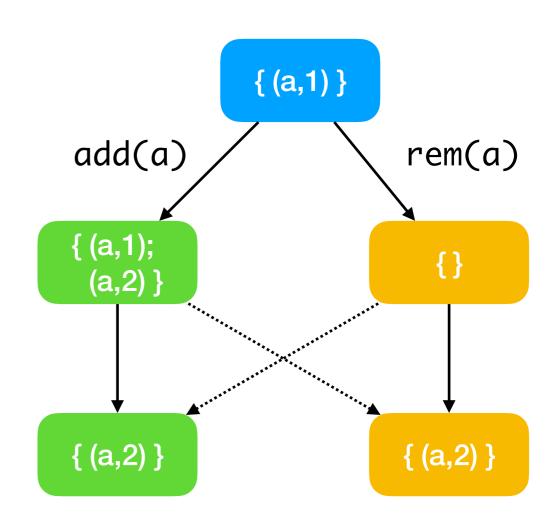
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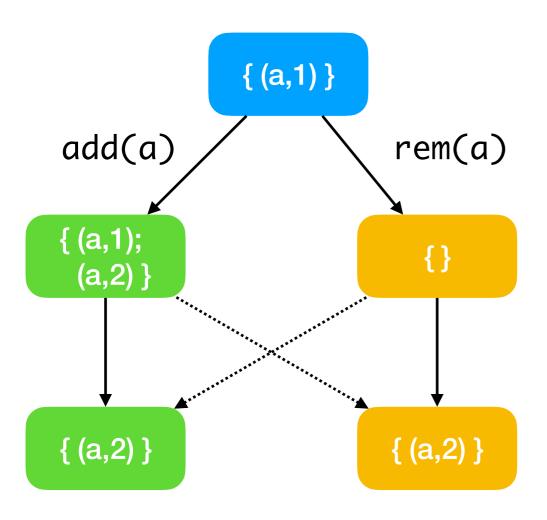
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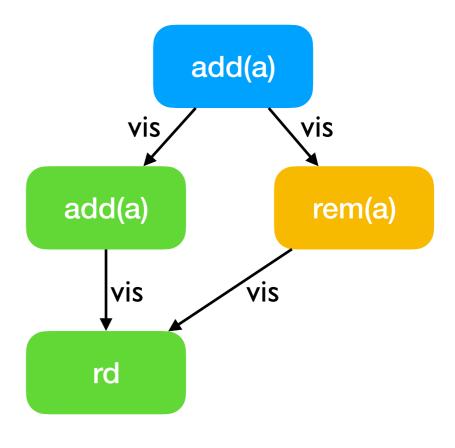
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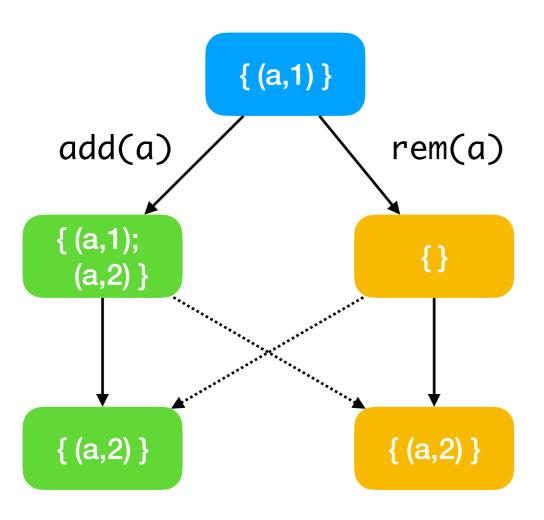
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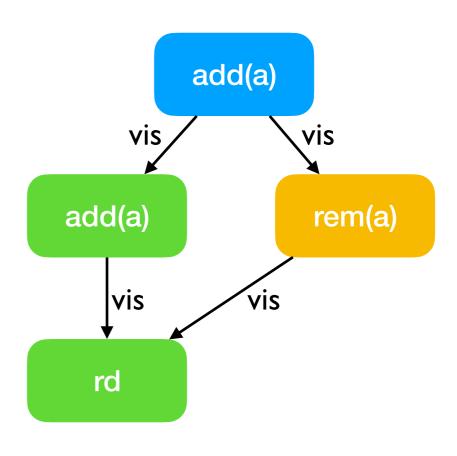
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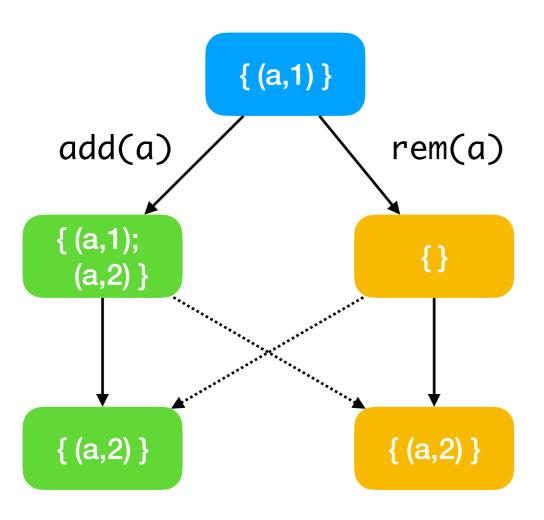


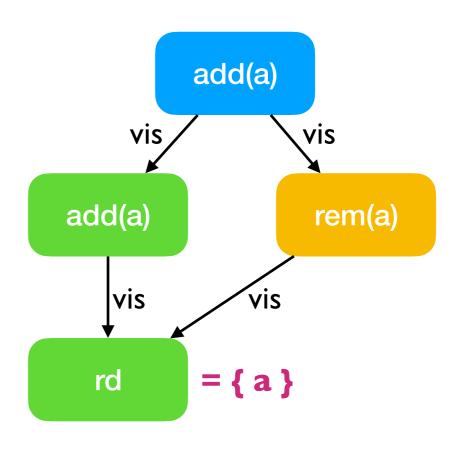




$$\mathcal{F}_{orset}(\text{rd}, \langle E, oper, rval, time, vis \rangle) = \{a \mid \exists e \in E. oper(e) \}$$

= add(a) $\land \neg (\exists f \in E. oper(f) = \text{remove}(a) \land e \xrightarrow{vis} f)\}$





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$$\mathcal{R}_{sim}(I,\sigma) \iff (\forall (a,t) \in \sigma \iff (\exists e \in I.E \land I. oper(e) = add(a) \land I.time(e) = t \land \neg (\exists f \in I.E \land I. oper(f) = remove(a) \land e \xrightarrow{vis} f)))$$

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- The main verification effort is to show that the relation above is indeed a simulation relation
 - * Shown separately for operations and merge function
 - ★ Proof by induction on the execution trace

Verification effort

MRDTs verified	#Lines code	#Lines proof	#Lemmas	Verif. time (s)
Increment-only counter	6	43	2	3.494
PN counter	8	43	2	23.211
Enable-wins flag	20	58	3	1074
		81	6	171
		89	7	104
LWW register	5	44	1	4.21
G-set	10	23	0	4.71
		28	1	2.462
		33	2	1.993
G-map	48	26	0	26.089
Mergeable log	39	95	2	36.562
OR-set (§2.1.1)	30	36	0	43.85
		41	1	21.656
		46	2	8.829
OR-set-space (§2.1.2)	59	108	7	1716
OR-set-spacetime	97	266	7	1854
Queue	32	1123	75	4753

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Composing RDTs is HARD!



Today in "distributed systems are hard": I wrote down a simple CRDT algorithm that I thought was "obviously correct" for a course I'm teaching. Only 10 lines or so long. Found a fatal bug only after spending hours trying to prove the algorithm correct.

4:18 AM · Nov 13, 2020 · Tweetbot for iOS

41 Retweets 4 Quote Tweets 541 Likes



Martin Kleppmann @martinkl · Nov 13, 2020

The interesting thing about this bug is that it comes about only from the interaction of two features. A LWW map by itself is fine. A set in which you can insert and delete elements (but not update them) is fine. The problem arises only when delete and update interact.

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1

- Build IRC-style group chat
 - ★ Send and read messages in channels

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 - **★** String (channel name) keys → mergeable-log MRDT values

Goal:

- * map and log proved correct separately
- ★ Use the proof of underlying RDTs to prove chat application correctness

Implementation

```
\mathcal{D}_{\alpha-map} = (\Sigma, \sigma_0, do, merge_{\alpha-map}) where
1: \Sigma_{\alpha-map} = \mathcal{P}(string \times \Sigma_{\alpha})
2: \sigma_0 = \{\}
3: \delta(\sigma, k) = \begin{cases} \sigma(k), & \text{if } k \in dom(\sigma) \\ \sigma_{0\sigma}, & \text{otherwise} \end{cases}
         do(set(k,o_{\alpha}),\sigma,t) =
4:
               let (v, r) = do_{\alpha}(o_{\alpha}, \delta(\sigma, k), t) in (\sigma[k \mapsto v], r)
5: do(qet(k,o_{\alpha}),\sigma,t) =
               let (\_, r) = do_{\alpha}(o_{\alpha}, \delta(\sigma, k), t) in (\sigma, r)
        merge_{\alpha-map}(\sigma_{lca},\sigma_a,\sigma_b) =
               \{(k,v) \mid (k \in dom(\sigma_{lca}) \cup dom(\sigma_a) \cup dom(\sigma_b)) \land
                                   v = merge_{\alpha}(\delta(\sigma_{lca}, k), \delta(\sigma_a, k), \delta(\sigma_b, k))
```

$$\mathcal{R}_{sim-\alpha-map}(I,\sigma) \iff \forall k.$$
1: $(k \in dom(\sigma) \iff \exists e \in I.E. oper(e) = set(k,_)) \land$
2: $\mathcal{R}_{sim-\alpha} (project(k,I), \delta(\sigma,k))$

Implementation

```
\mathcal{D}_{\alpha-map} = (\Sigma, \sigma_0, do, merge_{\alpha-map}) where
1: \Sigma_{\alpha-map} = \mathcal{P}(string \times \Sigma_{\alpha}) The values in the MRDT map are MRDTs
3: \delta(\sigma, k) = \begin{cases} \sigma(k), & \text{if } k \in dom(\sigma) \\ \sigma_{0_{\alpha}}, & \text{otherwise} \end{cases}
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Implementation

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\mathcal{D}_{\alpha-map} = (\Sigma, \sigma_0, do, merge_{\alpha-map}) \text{ where}
1: \sum_{\alpha-map} = \mathcal{P}(string \times \Sigma_{\alpha}) \longrightarrow \text{The values in the MRDT map are MRDTs}
2: \sigma_0 = \{\}
3: \delta(\sigma, k) = \begin{cases} \sigma(k), & \text{if } k \in dom(\sigma) \\ \sigma_{0\alpha}, & \text{otherwise} \end{cases}
4: do(set(k, o_{\alpha}), \sigma, t) = \\ \text{let } (v, r) = do_{\alpha}(o_{\alpha}, \delta(\sigma, k), t) \text{ in } (\sigma[k \mapsto v], r)
5: do(get(k, o_{\alpha}), \sigma, t) = \\ \text{let } (\_, r) = do_{\alpha}(o_{\alpha}, \delta(\sigma, k), t) \text{ in } (\sigma, r)
6: merge_{\alpha-map}(\sigma_{lca}, \sigma_a, \sigma_b) = \\ \{(k, v) \mid (k \in dom(\sigma_{lca}) \cup dom(\sigma_a) \cup dom(\sigma_b)) \land \\ v = merge_{\alpha}(\delta(\sigma_{lca}, k), \delta(\sigma_a, k), \delta(\sigma_b, k)) \end{cases}
Merge uses the merge of the underlying value type!
```

```
\mathcal{R}_{sim-\alpha-map}(I,\sigma) \iff \forall k.
1: (k \in dom(\sigma) \iff \exists e \in I.E. oper(e) = set(k,\_)) \land
2: \mathcal{R}_{sim-\alpha} (project(k,I), \delta(\sigma,k))
```

Implementation

```
\mathcal{D}_{\alpha-map} = (\Sigma, \sigma_0, do, merge_{\alpha-map}) \text{ where}
1: \sum_{\alpha-map} = \mathcal{P}(string \times \Sigma_{\alpha}) \longrightarrow \text{The values in the MRDT map are MRDTs}
2: \sigma_0 = \{\}
3: \delta(\sigma, k) = \begin{cases} \sigma(k), & \text{if } k \in dom(\sigma) \\ \sigma_{0\alpha}, & \text{otherwise} \end{cases}
4: do(set(k, o_{\alpha}), \sigma, t) = \\ \text{let } (v, r) = do_{\alpha}(o_{\alpha}, \delta(\sigma, k), t) \text{ in } (\sigma[k \mapsto v], r)
5: do(get(k, o_{\alpha}), \sigma, t) = \\ \text{let } (\_, r) = do_{\alpha}(o_{\alpha}, \delta(\sigma, k), t) \text{ in } (\sigma, r)
6: merge_{\alpha-map}(\sigma_{lca}, \sigma_{\alpha}, \sigma_{b}) = \\ \{(k, v) \mid (k \in dom(\sigma_{lca}) \cup dom(\sigma_{a}) \cup dom(\sigma_{b})) \land \\ v = merge_{\alpha}(\delta(\sigma_{lca}, k), \delta(\sigma_{a}, k), \delta(\sigma_{b}, k)) \end{cases} \xrightarrow{\text{Merge uses the merge of the underlying value type!}}
```

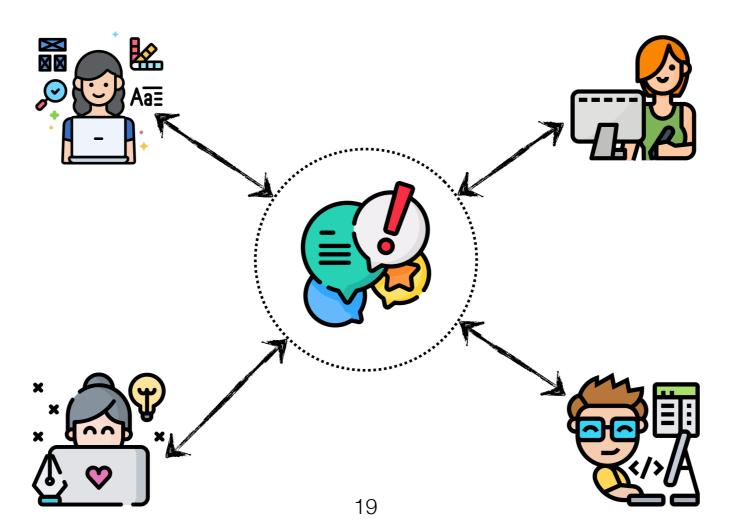
$$\mathcal{R}_{sim-\alpha-map}(I,\sigma) \iff \forall k.$$

1: $(k \in dom(\sigma) \iff \exists e \in I.E. oper(e) = set(k,_)) \land$

2: $\mathcal{R}_{sim-\alpha}$ (project(k, I), $\delta(\sigma,k)$)

Simulation relation appeals to the value type's simulation relation!

- IRC app state is constructed by instantiating generic map with mergeable log
- The proof of correctness of the chat application directly follows from the composition.
 - ★ See paper for details!



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- Space- and time-efficient implementations
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- Composition of MRDTs and their proofs!
- See paper for
 - ◆ Formal description of the system + soundness proof
 - Case study on replicated queues
 - ◆ Performance results

