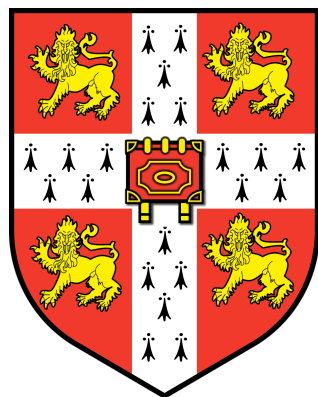


# Effective Parallelism with Reagents

“KC” Sivaramakrishnan

University of  
Cambridge



OCaml  
Labs



# Multicore OCaml

*Concurrency*

*Parallelism*

**Libraries**

**Language + Stdlib**

**Compiler**

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Fibers

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Fibers

- **12M** fibers/s  
on 1 core
- **30M** fibers/s  
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Domain API

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Cooperative Concurrency,  
Async I/O, backtracking..

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Cooperative Concurrency,  
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**Reagents**: lock-free programming

**Language + Stdlib**

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**Reagents:** lock-free programming

Effects

# Algebraic effects & handlers

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- Programming and reasoning about computational effects in a pure setting.
  - Cf. Monads

# Algebraic effects & handlers

- Programming and reasoning about computational effects in a pure setting.
  - Cf. Monads
- *Eff* — <http://www.eff-lang.org/>

## *Eff*

*Eff* is a functional language with handlers of not only exceptions, but also of other computational effects such as state or I/O. With handlers, you can simply implement transactions, redirections, backtracking, multi-threading, and much more...

---

### Reasons to like *Eff*

Effects are first-class citizens

Precise control over effects

Strong theoretical

# Algebraic Effects: Example

```
exception Foo of int
```

```
let f () = 1 + (raise (Foo 3))
```

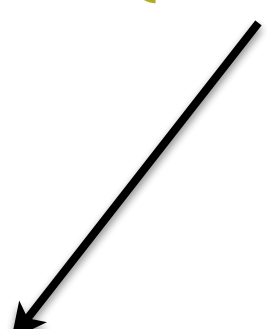
```
let r =  
  try  
    f ()  
  with Foo i -> i + 1
```

# Algebraic Effects: Example

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exception Foo of int
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let f () = 1 + (raise (Foo 3))
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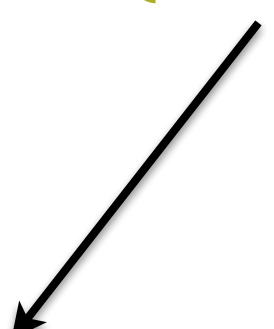


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```
val r : int = 4
```



# Algebraic Effects: Example

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```

```
let r =  
  try  
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```

**val r : int = 4**

```
effect Foo : int -> int
```

```
let f () = 1 + (perform (Foo 3))
```

```
let r =  
  try  
    f ()  
  with effect (Foo i) k ->  
    continue k (i + 1)
```

# Algebraic Effects: Example

```
exception Foo of int
```

```
let f () = 1 + (raise (Foo 3))
```

```
let r =  
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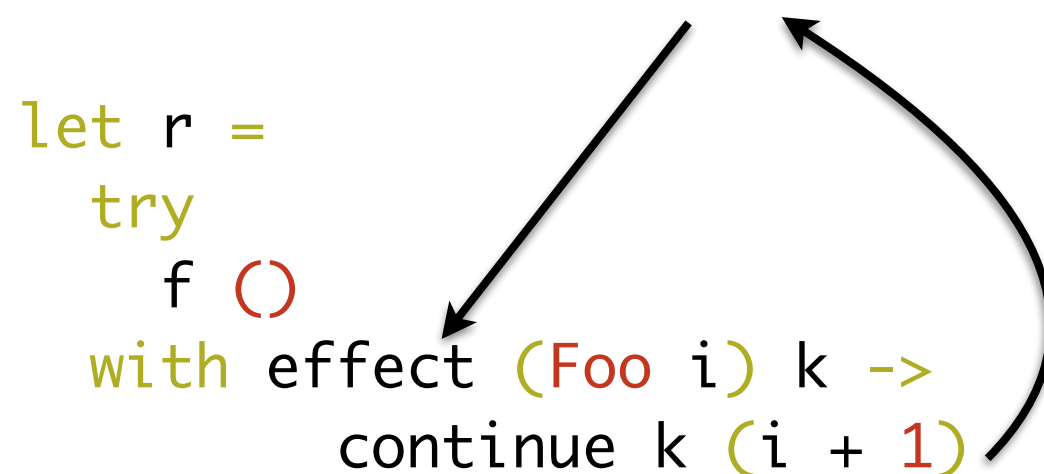
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**val r : int = 4**

```
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```

```
let f () = 1 + (perform (Foo 3)) 4
```

```
let r =  
  try  
    f ()  
  with effect (Foo i) k ->  
    continue k (i + 1)
```



# Algebraic Effects: Example

exception Foo of int

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let r =  
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val r : int = 4

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let f () = 1 + ~~(perform (Foo 3))~~ 4

let r =  
 try  
 f ()  
 with effect (Foo i) k ->  
 continue k (i + 1)

val r : int = 5

# Algebraic Effects: Example

exception **Foo** of **int**

let **f** () = 1 + (raise (**Foo** 3))

let **r** =  
  try  
    **f** ()  
  with **Foo** **i** -> **i** + 1

**val r : int = 4**

effect **Foo** : **int** -> **int**

let **f** () = 1 + ~~(perform (**Foo** 3))~~ 4

let **r** =  
  try  
    **f** ()  
  with effect (**Foo** **i**) **k** ->  
    continue **k** (**i** + 1)

**val r : int = 5**

***fiber*** — lightweight stack

- Heap-allocated
- Dynamically resized
- One-shot (affine), explicit cloning

# Cooperative Concurrency

```
(* Control operations on threads *)  
val fork  : (unit -> unit) -> unit  
val yield : unit -> unit  
(* Runs the scheduler. *)  
val run   : (unit -> unit) -> unit
```

# Cooperative Concurrency

```
(* Control operations on threads *)  
val fork  : (unit -> unit) -> unit  
val yield : unit -> unit  
(* Runs the scheduler. *)  
val run   : (unit -> unit) -> unit
```

```
effect Fork  : (unit -> unit) -> unit  
let fork f = perform (Fork f)
```

```
effect Yield : unit  
let yield () = perform Yield
```



# Cooperative Concurrency

```
(* A concurrent round-robin scheduler *)
let run main =
  let run_q = Queue.create () in
  let enqueue k = Queue.push k run_q in
  let rec dequeue () =
    if Queue.is_empty run_q then ()
    else continue (Queue.pop run_q) ()
  in
  let rec spawn f =
    (* Effect handler => instantiates fiber *)
    match f () with
    | () -> dequeue ()
    | exception e ->
      print_string (Printexc.to_string e);
      dequeue ()
    | effect Yield k -> enqueue k; dequeue ()
    | effect (Fork f) k -> enqueue k; spawn f
  in
  spawn main
```

# Generator from Iterator

```
type 'a t =  
| Leaf  
| Node of 'a t * 'a * 'a t
```

# Generator from Iterator

```
type 'a t =  
  | Leaf  
  | Node of 'a t * 'a * 'a t  
  
let rec iter f = function  
  | Leaf -> ()  
  | Node (l, x, r) -> iter f l; f x; iter f r
```

# Generator from Iterator

```
type 'a t =
| Leaf
| Node of 'a t * 'a * 'a t

let rec iter f = function
| Leaf -> ()
| Node (l, x, r) -> iter f l; f x; iter f r

(* val to_gen : 'a t -> (unit -> 'a option) *)
let to_gen (type a) (t : a t) =
  let module M = struct effect Next : a -> unit end in
  let open M in
  let step = ref (fun () -> assert false) in
  let first_step () =
    try
      iter (fun x -> perform (Next x)) t; None
    with effect (Next v) k ->
      step := continue k; Some v
  in
  step := first_step;
  fun () -> !step ()
```

# *Concurrency*

## Algebraic effects & handlers

- Cooperative concurrency
- Backtracking computations
- Selection functionals
- Inversion of control
- Event-based Async I/O in direct-style



## *Concurrency*

### Algebraic effects & handlers

- Cooperative concurrency
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## *Parallelism*

### Domain API

Spawn & Join domains

# *Concurrency*

## Algebraic effects & handlers

- Cooperative concurrency
- Backtracking computations
- Selection functionals
- Inversion of control
- Event-based Async I/O in direct-style

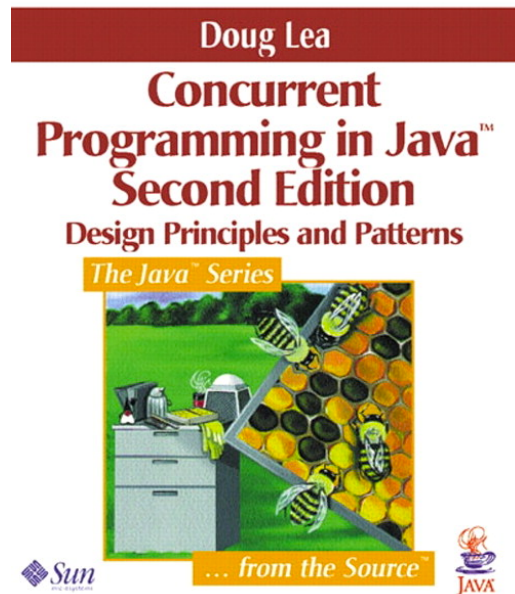
# *Parallelism*

## Reagents

Lock-free synchronisation  
& data structures

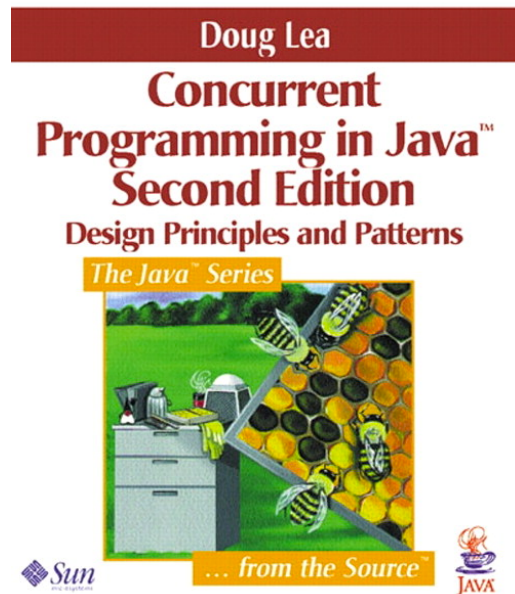
## Domain API

Spawn & Join domains



**JVM:** `java.util.concurrent`      **.Net:** `System.Concurrent.Collections`





**JVM:** `java.util.concurrent`

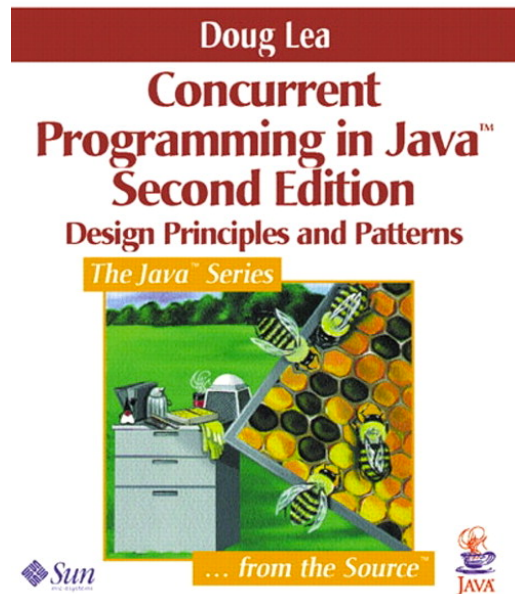
**.Net:** `System.Concurrent.Collections`

## Synchronization

- Reentrant locks
- Semaphores
- R/W locks
- Reentrant R/W locks
- Condition variables
- Countdown latches
- Cyclic barriers
- Phasers
- Exchangers

## Data structures

- Queues
  - Nonblocking
  - Blocking (array & list)
  - Synchronous
  - Priority, nonblocking
  - Priority, blocking
- Dequeues
- Sets
- Maps (hash & skiplist)



**JVM:** `java.util.concurrent`

**.Net:** `System.Concurrent.Collections`

## Synchronization

Reentrant locks  
Semaphores  
R/W locks  
Reentrant R/W locks  
Condition variables  
Countdown latches

## Data structures

Concurrent queues (array & list)  
Priority queues  
Priority, nonblocking  
Priority, blocking  
Dequeues  
Sets  
Maps (hash & skiplist)

**Not Composable**

How to build *composable*  
lock-free programs?

lock-free

# lock-free

Under contention, **at least 1** thread makes progress

lock-free

Under contention, **at least 1** thread makes progress

obstruction-free

Single thread **in isolation** makes progress

# wait-free

Under contention, **each** thread makes progress

# lock-free

Under contention, **at least 1** thread makes progress

# obstruction-free

Single thread **in isolation** makes progress

# Compare-and-swap (CAS)

```
module CAS : sig
  val cas : 'a ref -> expect:'a -> update:'a -> bool
end = struct
  (* atomically... *)
  let cas r ~expect ~update =
    if !r = expect then
      (r := update; true)
    else false
  end
```

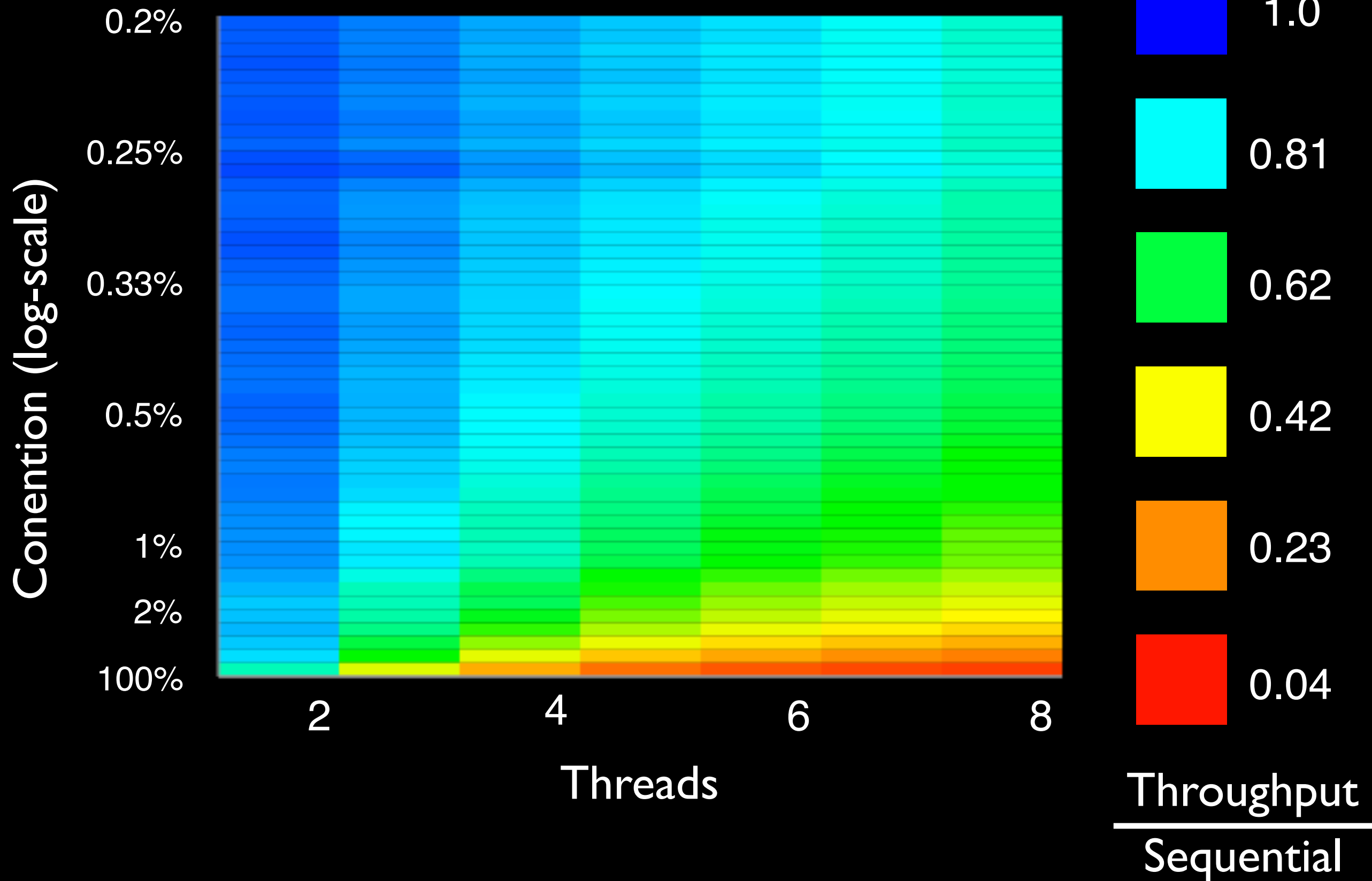


# Compare-and-swap (CAS)

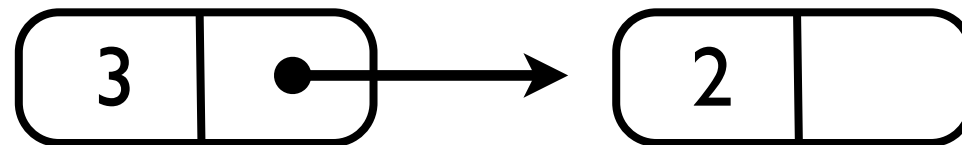
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module CAS : sig
  val cas : 'a ref -> expect:'a -> update:'a -> bool
end = struct
  (* atomically... *)
  let cas r ~expect ~update =
    if !r = expect then
      (r := update; true)
    else false
  end
```

- Implemented *atomically* by processors
  - x86: CMPXCHG and friends
  - arm: LDREX, STREX, etc.
  - ppc: lwarx, stwcx, etc.

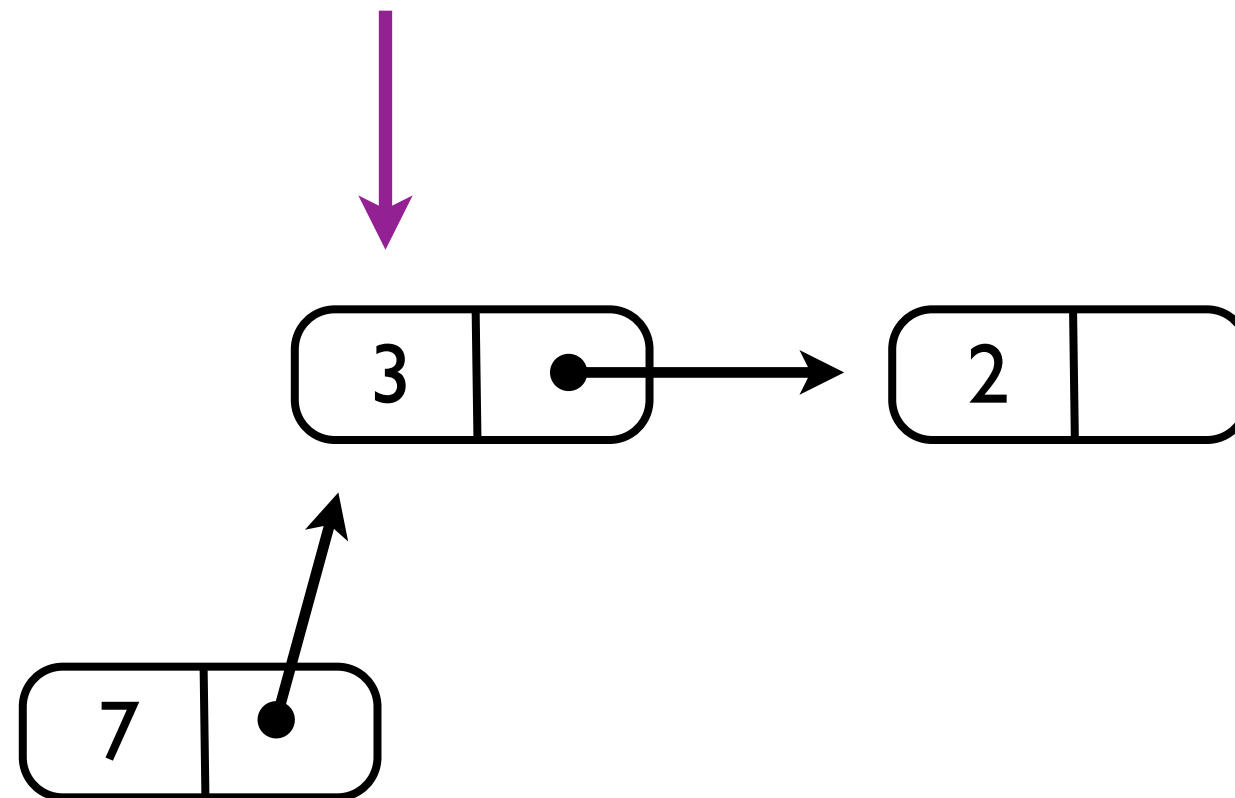
# CAS: cost versus contention



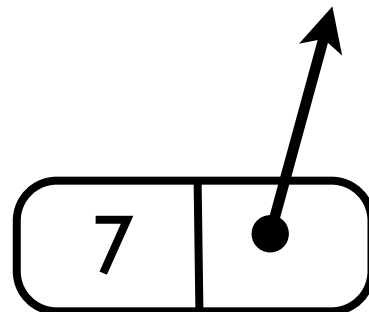
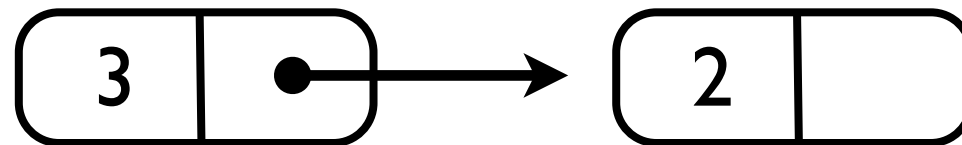
Head



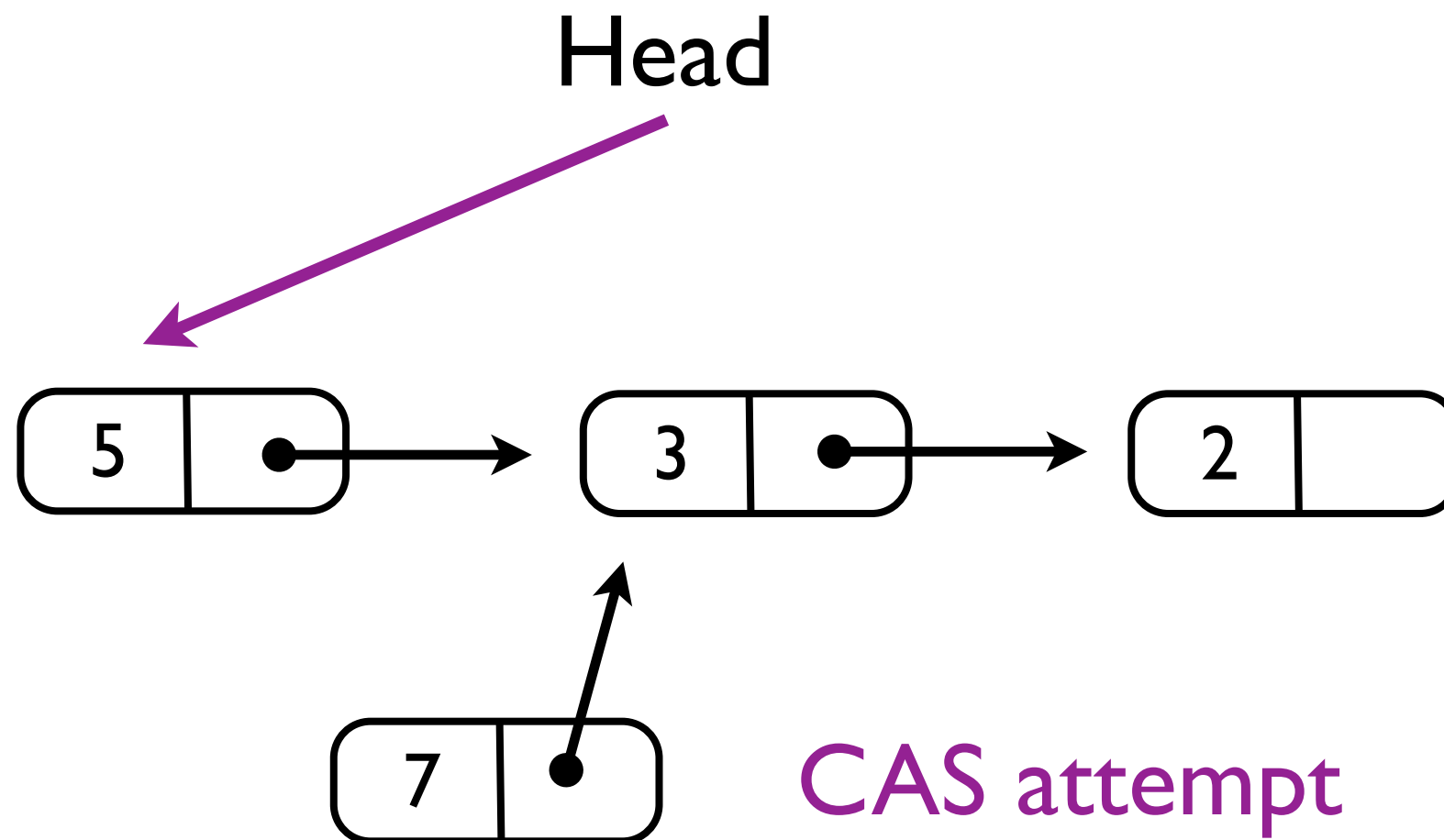
Head

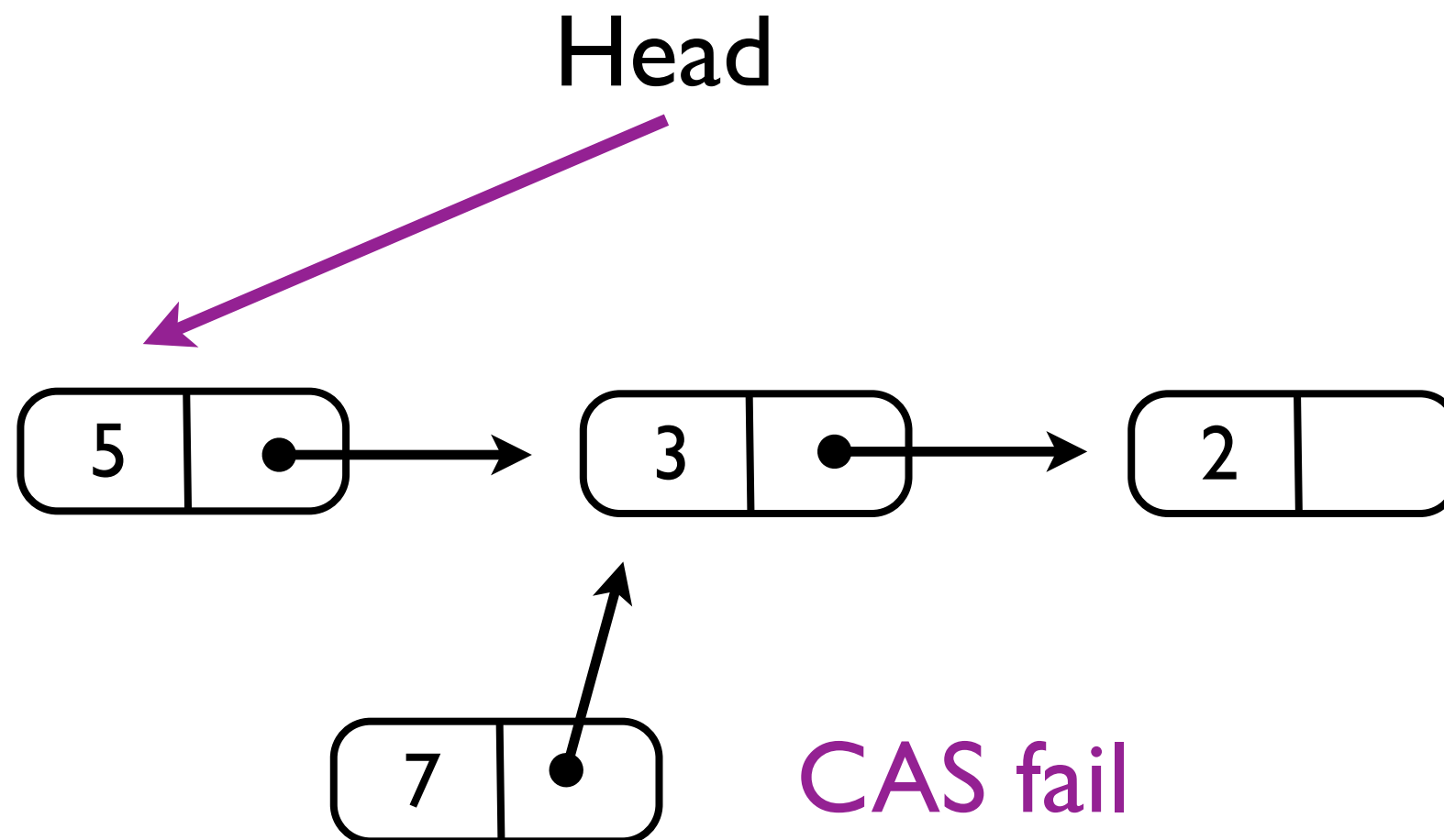


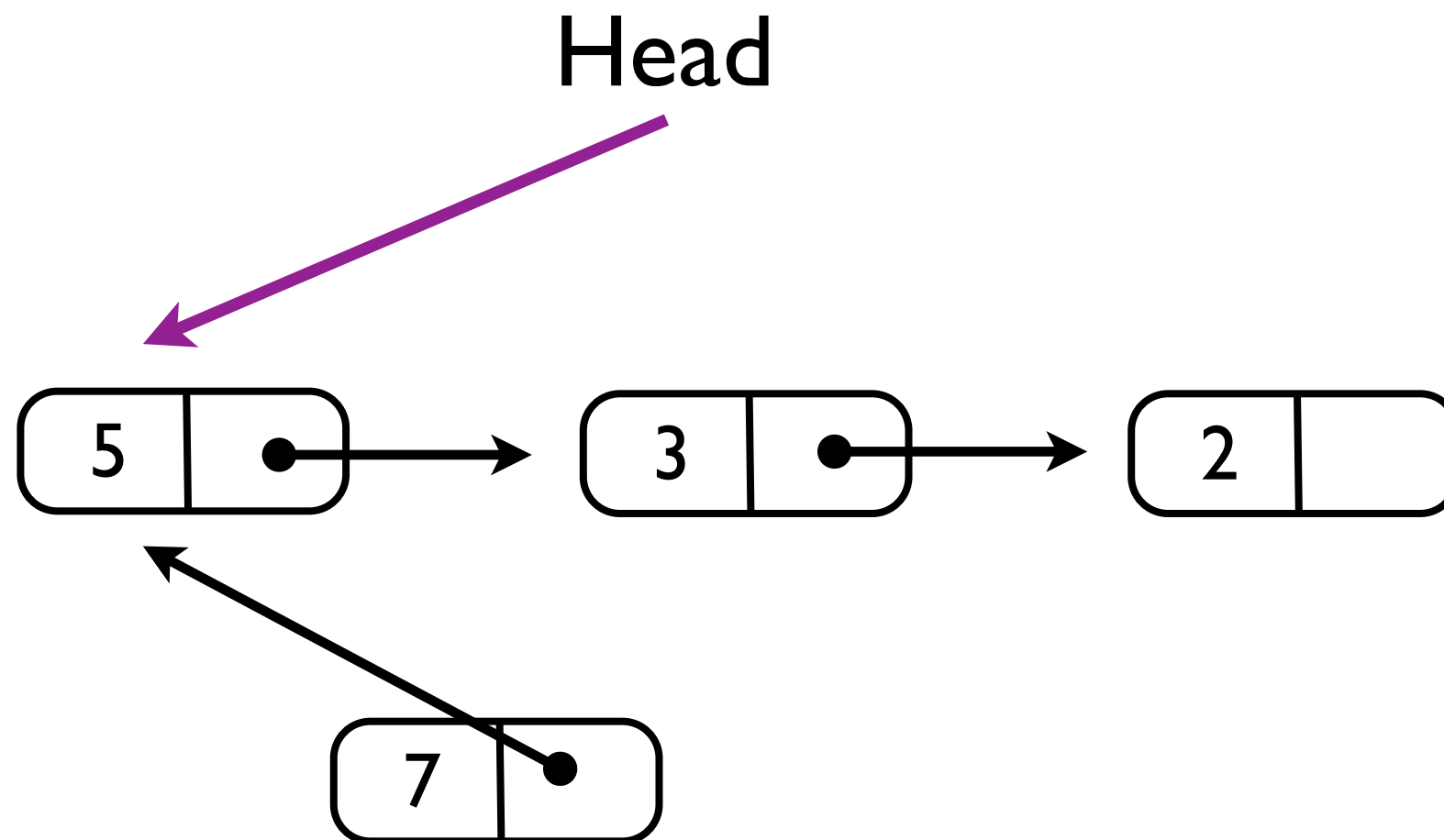
Head



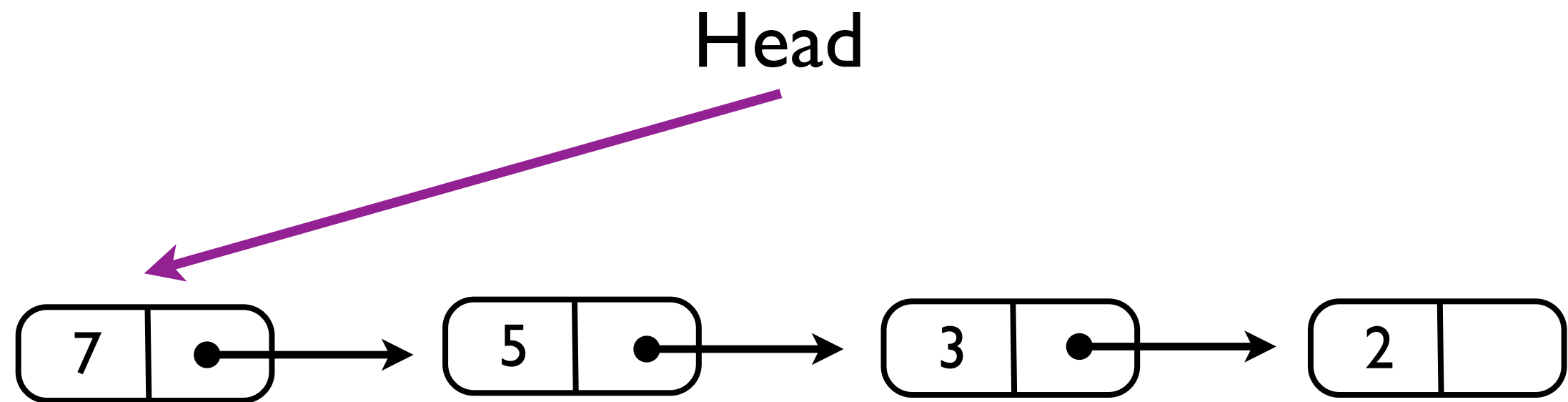
CAS attempt











```

module type TREIBER_STACK = sig
  type 'a t
  val push : 'a t -> 'a -> unit
  ...
end

module Treiber_stack : TREIBER_STACK =
struct
  type 'a t = 'a list ref

  let rec push s t =
    let cur = !s in
    if CAS.cas s cur (t::cur) then ()
    else (backoff (); push s t)
end

```

```

module type TREIBER_STACK = sig
  type 'a t
  val push      : 'a t -> 'a -> unit
  val try_pop   : 'a t -> 'a option
end

module Treiber_stack : TREIBER_STACK =
struct
  type 'a t = 'a list ref

  let rec push s t = ...

  let rec try_pop s =
    match !s with
    | [] -> None
    | (x::xs) as cur ->
        if CAS.cas s cur xs then Some x
        else (backoff (); try_pop s)
end

```

```
let v = Treiber_stack.pop s1 in  
Treiber_stack.push s2 v
```

is not ***atomic***

# The Problem:

Concurrency libraries are  
indispensable, but hard to  
build and extend

```
let v = Treiber_stack.pop s1 in  
Treiber_stack.push s2 v
```

is not *atomic*

# Reagents

Scalable concurrent algorithms  
can be **built** and **extended** using  
**abstraction** and **composition**

```
Treiber_stack.pop s1 >>> Treiber_stack.push s2
```

is ***atomic***

# Reagents: Expressing and Composing Fine-grained Concurrency

Aaron Turon

Northeastern University  
turon@ccs.neu.edu

## Abstract

Efficient communication and synchronization is crucial for fine-grained parallelism. Libraries providing such features, while indispensable, are difficult to write, and often cannot be tailored or composed to meet the needs of specific users. We introduce *reagents*, a set of combinators for concisely expressing concurrency algorithms. Reagents scale as well as their hand-coded counterparts, while providing the composability existing libraries lack.

**Categories and Subject Descriptors** D.1.3 [*Programming techniques*]: Concurrent programming; D.3.3 [*Language constructs and features*]: Concurrent programming structures

**General Terms** Design, Algorithms, Languages, Performance

Such libraries are an enormous undertaking—and one that must be repeated for new platforms. They tend to be conservative, implementing only those data structures and primitives likely to fulfill common needs, and it is generally not possible to safely combine the facilities of the library. For example, JUC provides queues, sets and maps, but not stacks or bags. Its queues come in both blocking and nonblocking forms, while its sets and maps are nonblocking only. Although the queues provide atomic (thread-safe) dequeuing and sets provide atomic insertion, it is not possible to combine these into a single atomic operation that moves an element from a queue into a set.

In short, libraries for fine-grained concurrency are indispensable, but hard to write, hard to extend by composition, and hard to

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**Sequential** >>> — Software transactional memory

**Parallel** <\*> — Join Calculus

**Selective** <+> — Concurrent ML



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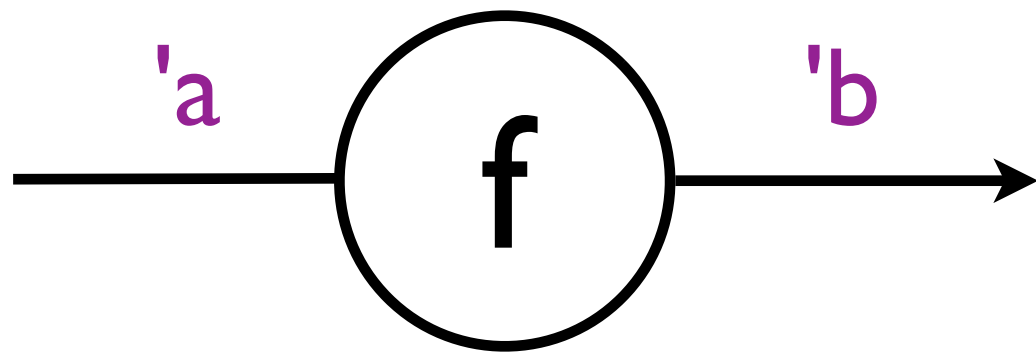
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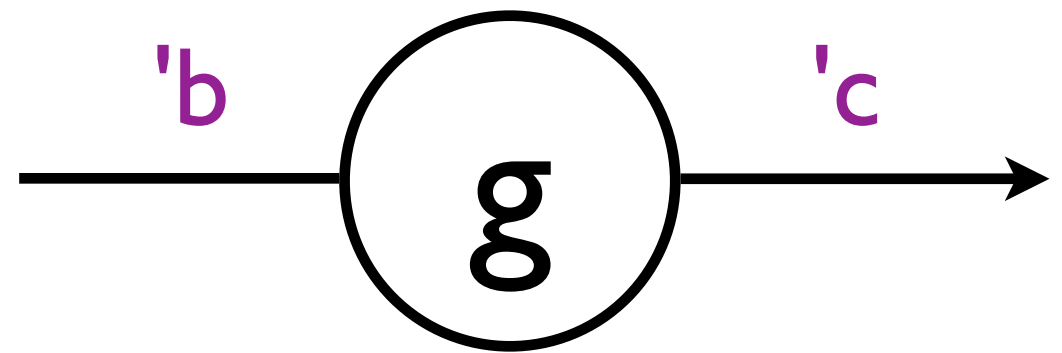
*still lock-free!*

# Design

# Lambda: the ultimate abstraction

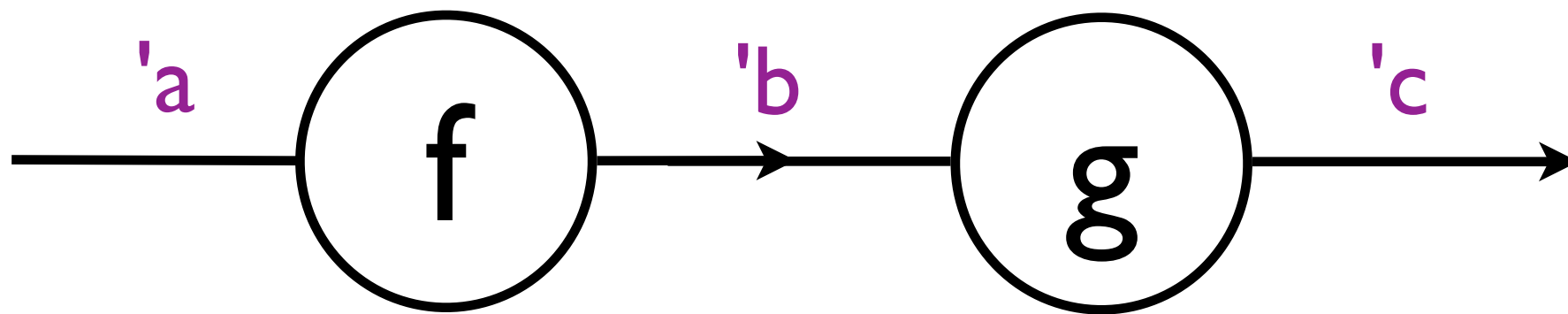


`val f : 'a -> 'b`



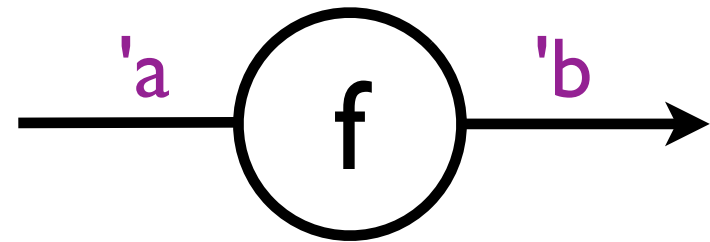
`val g : 'b -> 'c`

# Lambda: the ultimate abstraction

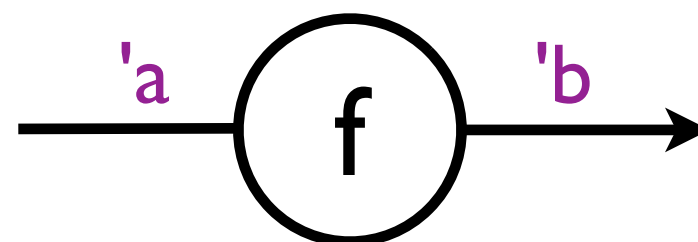


$(\text{compose } g \ f): 'a \rightarrow 'c$

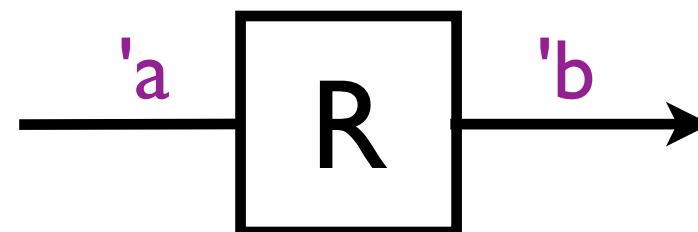
Lambda abstraction:



Lambda abstraction:



Reagent abstraction:

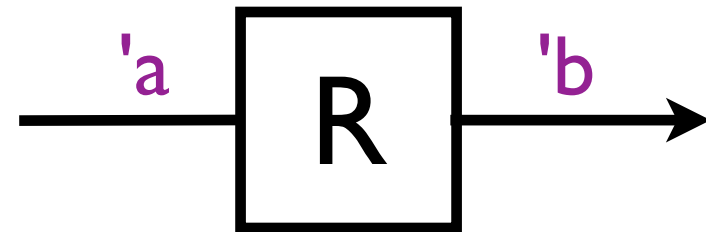


( 'a, 'b ) Reagent . t

Lambda abstraction:



Reagent abstraction:



$(\text{'a}, \text{'b})$  Reagent.t

val run :  $(\text{'a}, \text{'b})$  Reagent.t  $\rightarrow$  'a  $\rightarrow$  'b

# Thread Interaction

```
module type Reagents = sig
  type ('a,'b) t

  (* shared memory *)
  module Ref : Ref.S with type ('a,'b) reagent = ('a,'b) t
  (* communication channels *)
  module Channel : Channel.S with type ('a,'b) reagent = ('a,'b) t
  ...
end
```



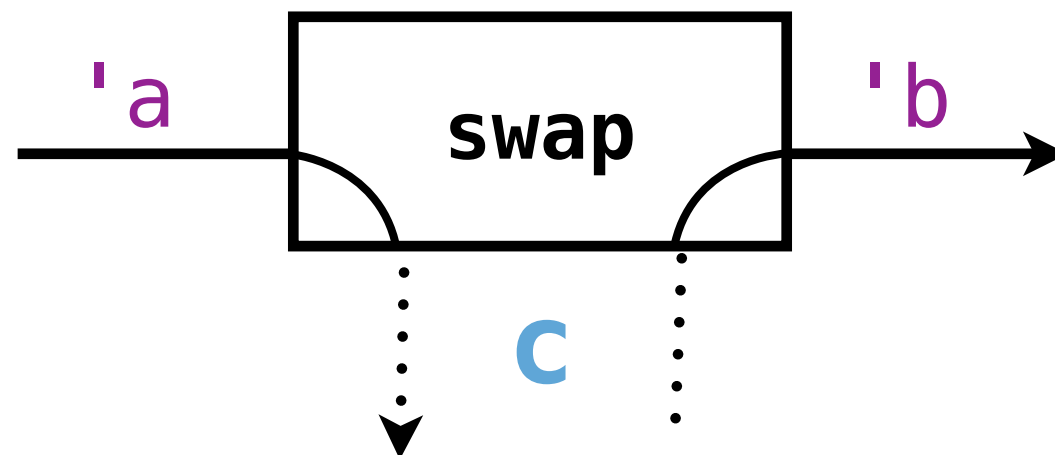
```
module type Channel = sig
  type ('a,'b) endpoint
  type ('a,'b) reagent

  val mk_chan : unit -> ('a,'b) endpoint * ('b,'a) endpoint
  val swap    : ('a,'b) endpoint -> ('a,'b) reagent
end
```

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module type Channel = sig
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end
```

**c**: ('a,'b) endpoint



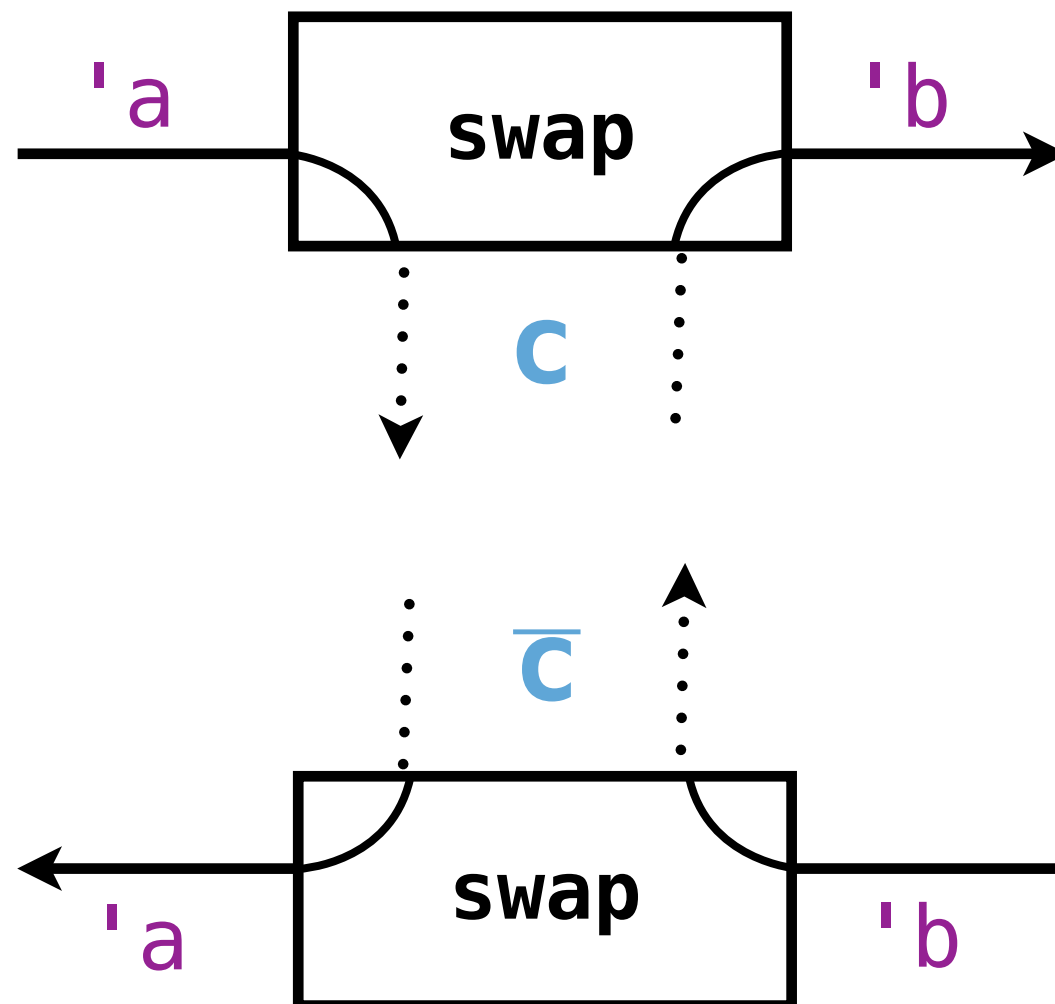
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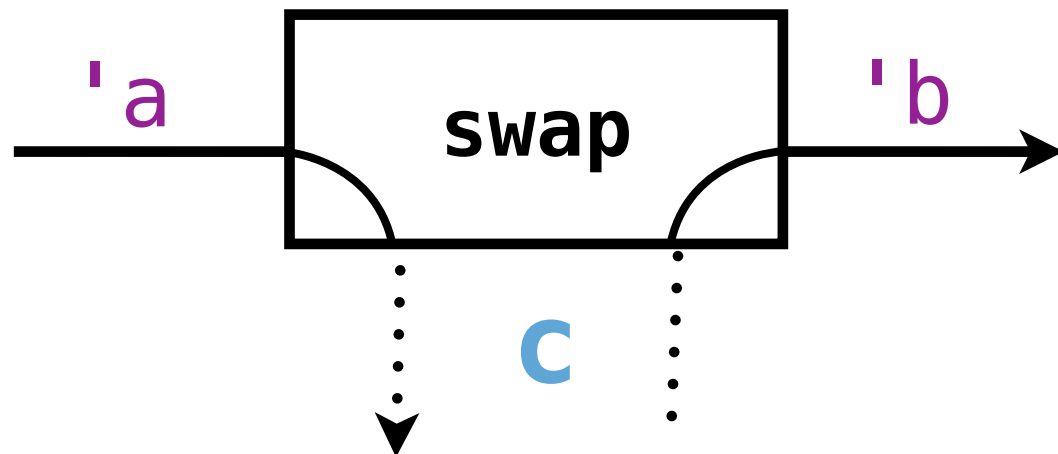
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```

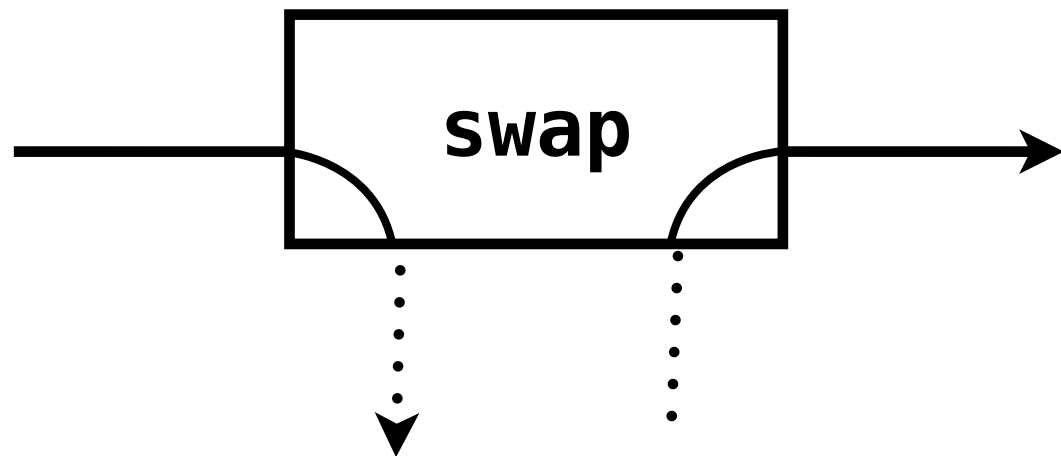
**c**: ('a,'b) endpoint



**c:** ( 'a, 'b ) endpoint

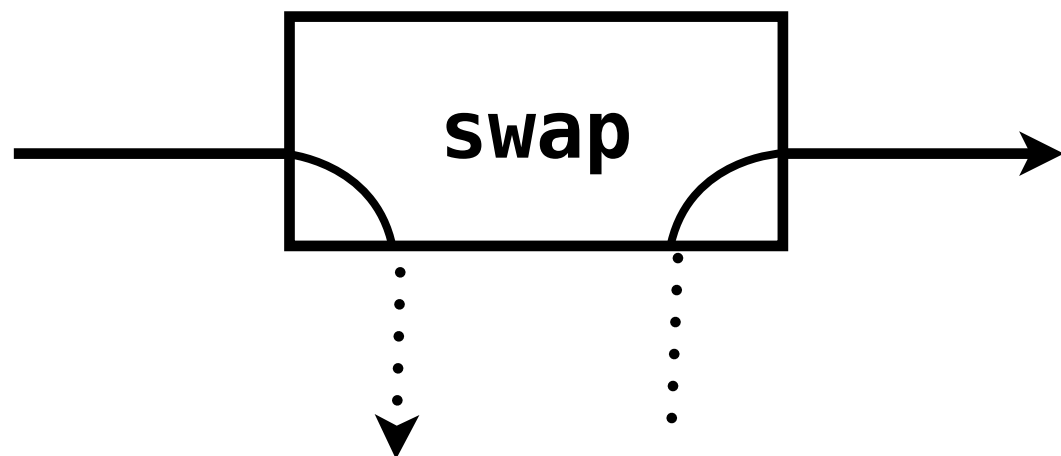


# Message passing

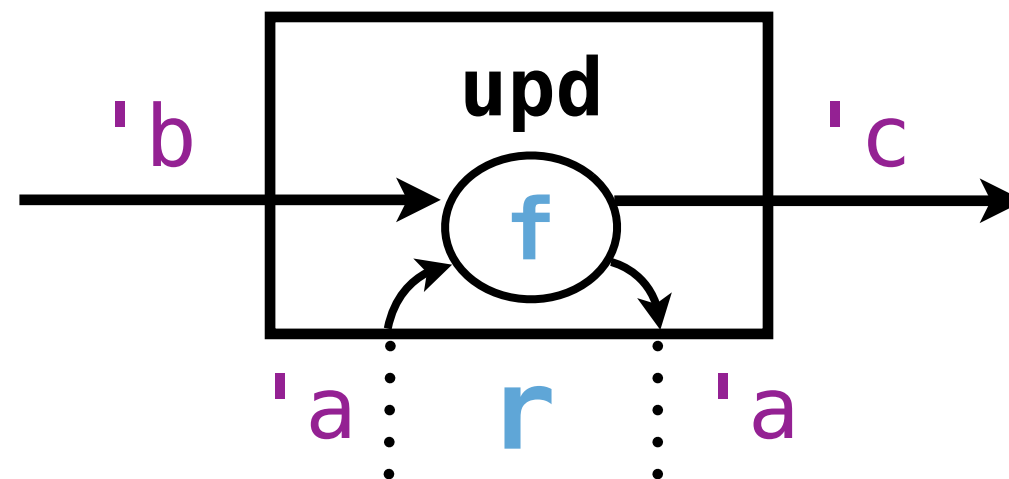


```
type 'a ref  
val upd : 'a ref  
  -> f:('a -> 'b -> ('a * 'c) option)  
  -> ('b, 'c) Reagent.t
```

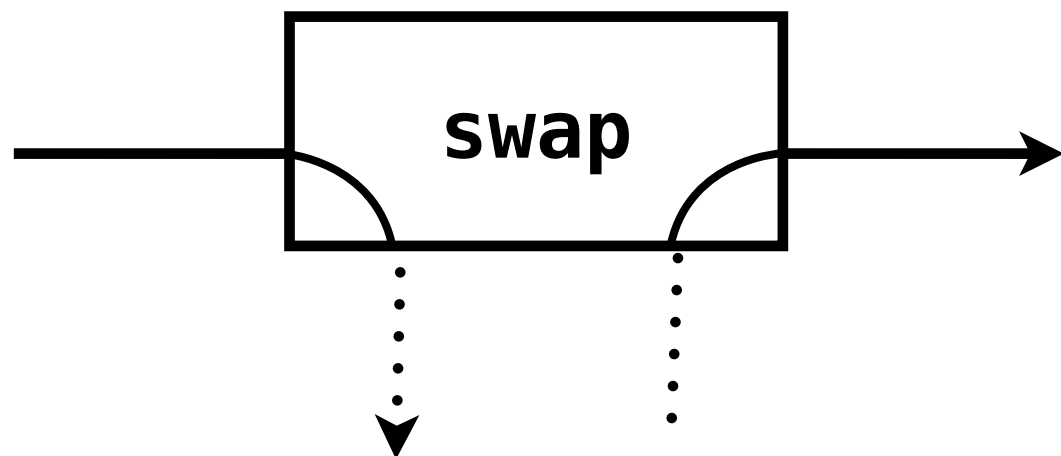
# Message passing



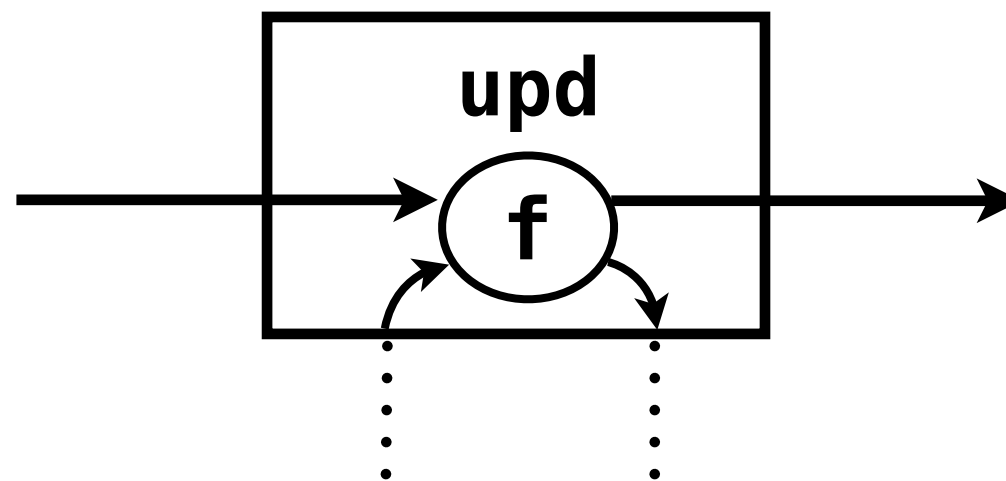
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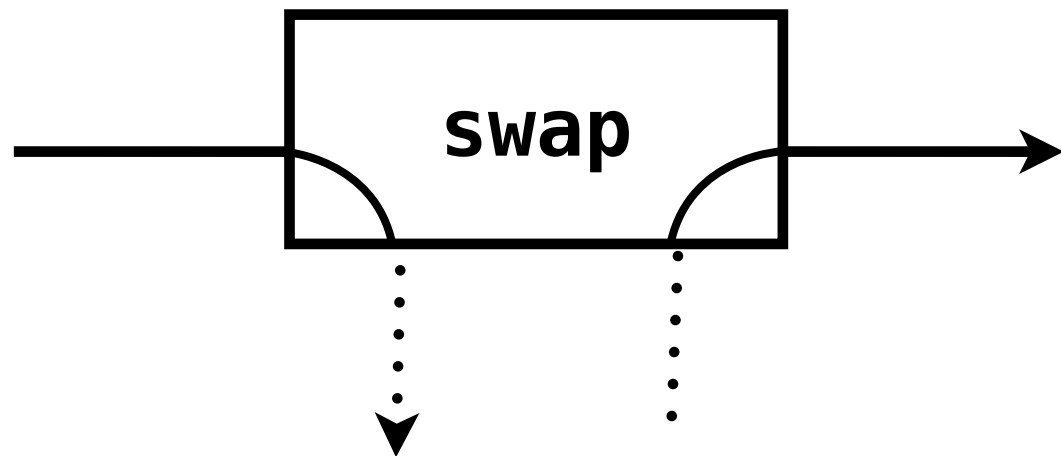
## Message passing



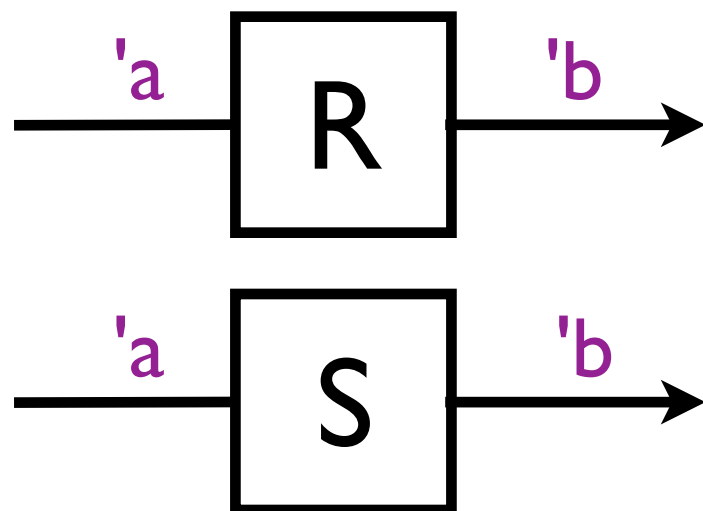
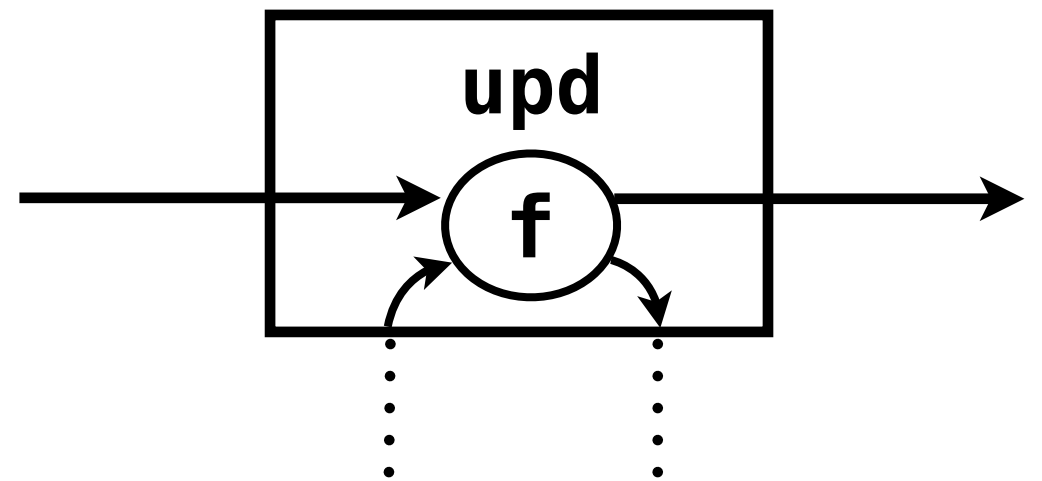
## Shared state



## Message passing

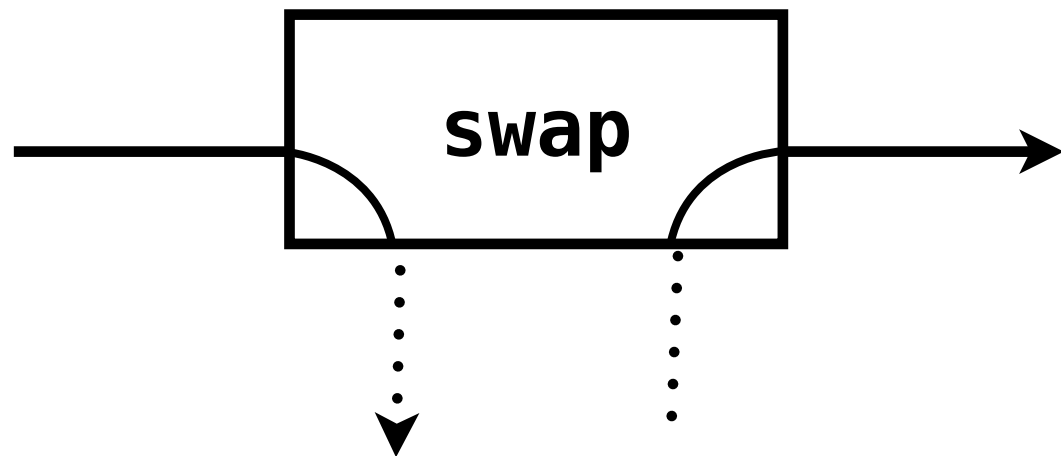


## Shared state

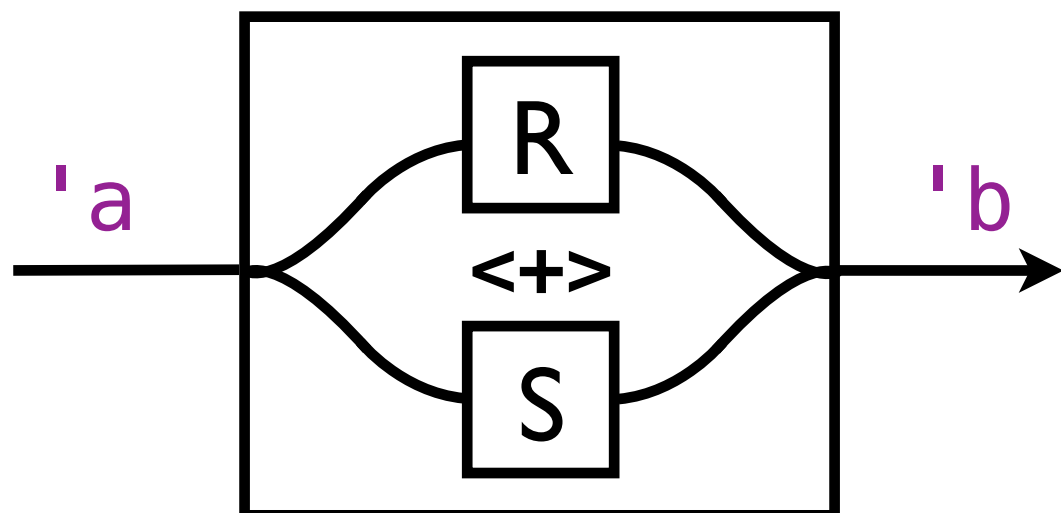
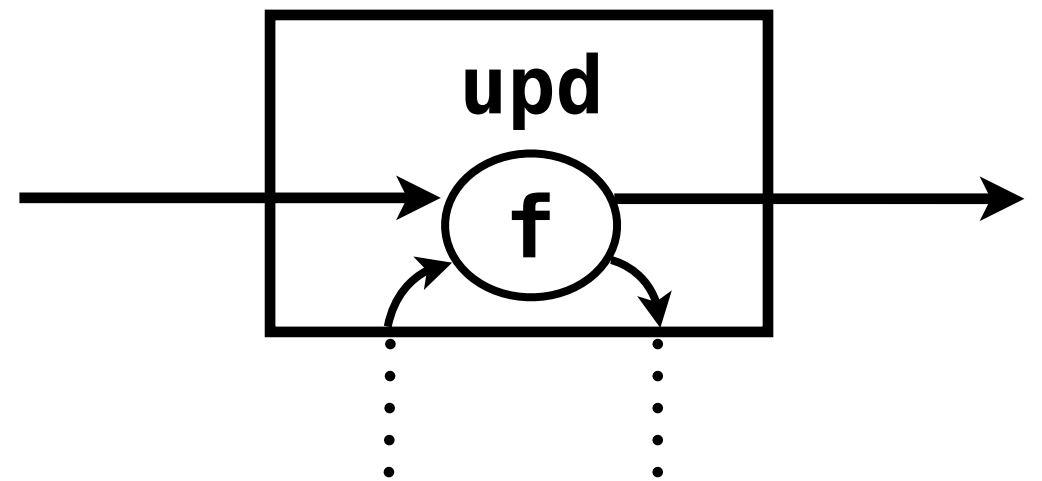




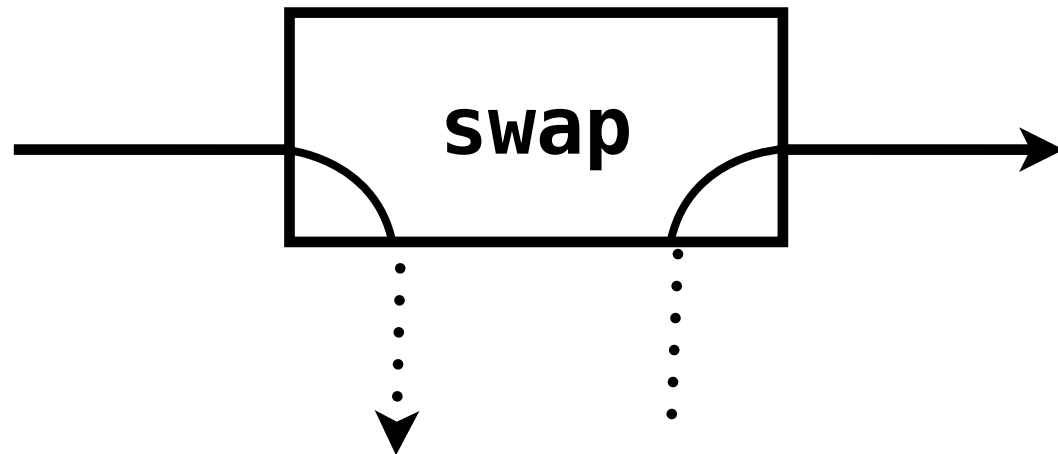
## Message passing



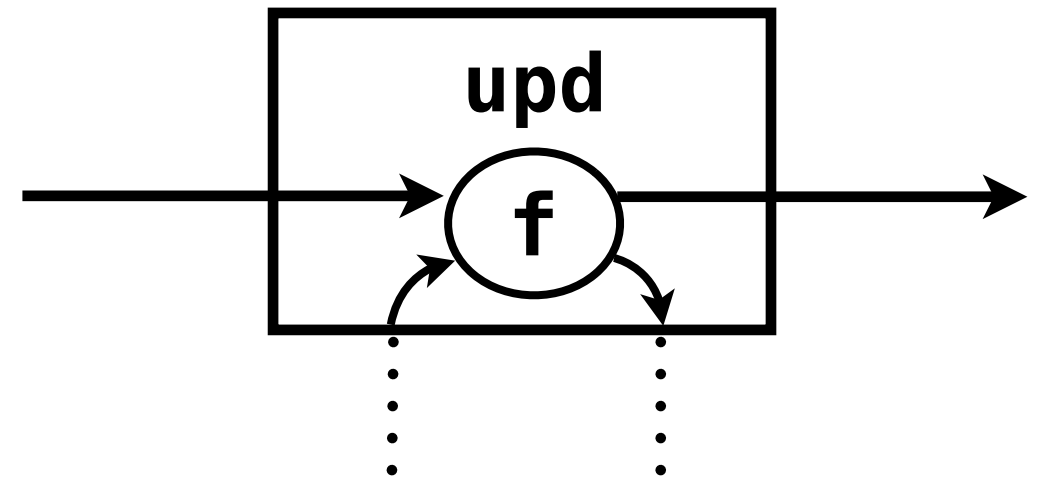
## Shared state



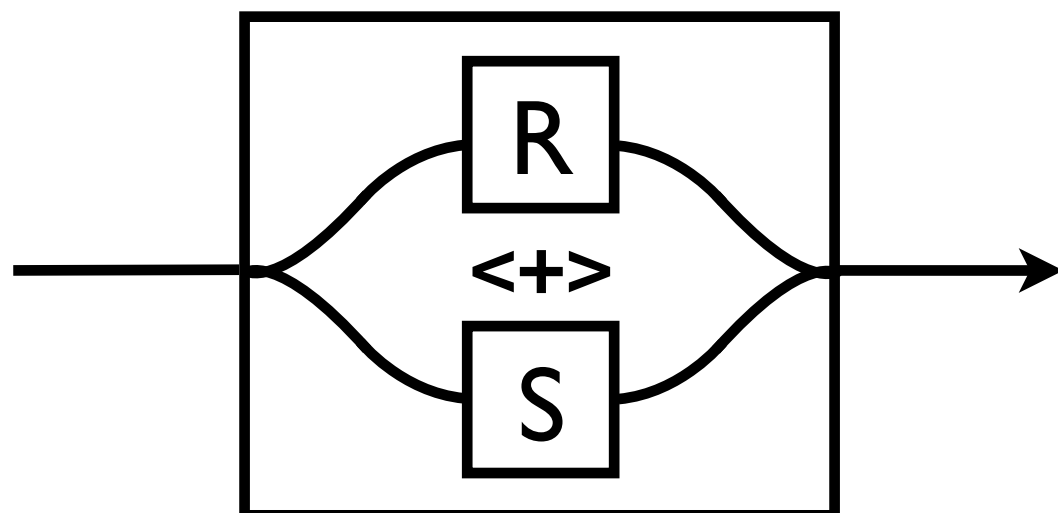
## Message passing



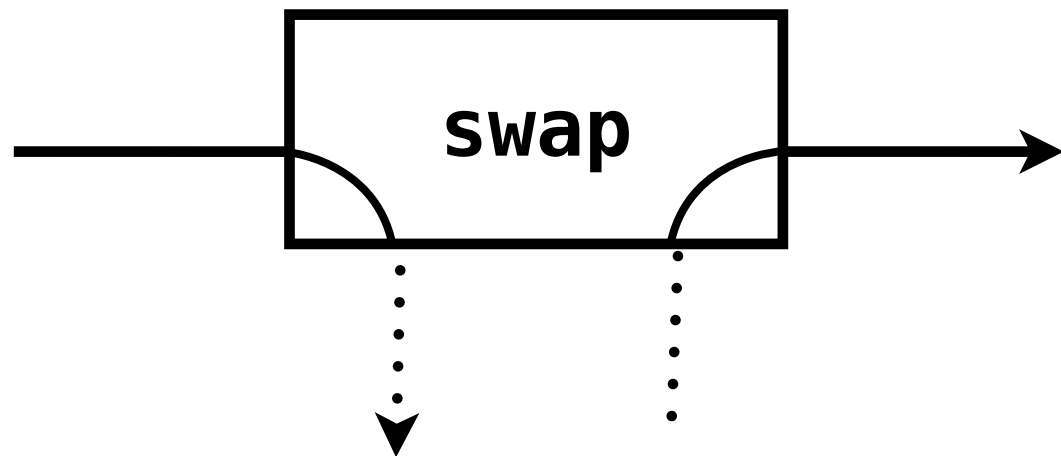
## Shared state



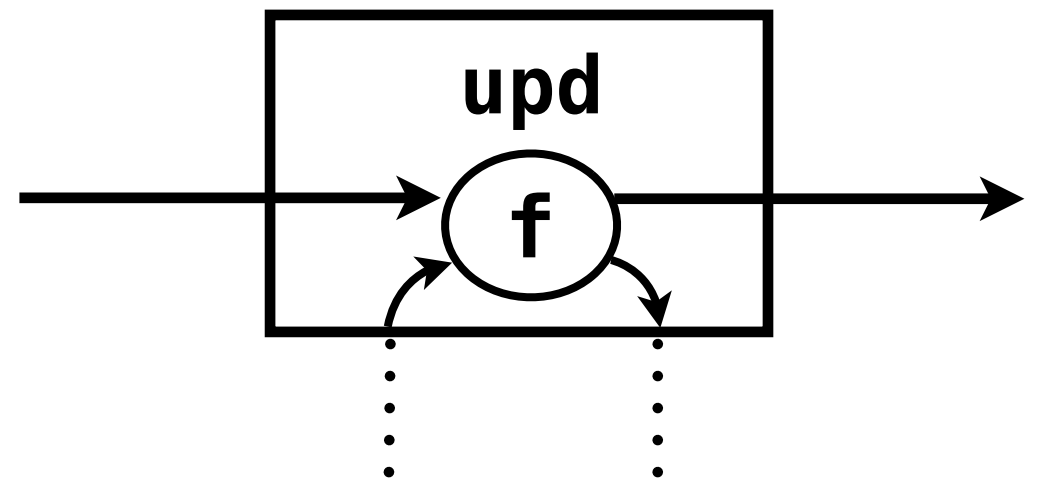
## Disjunction



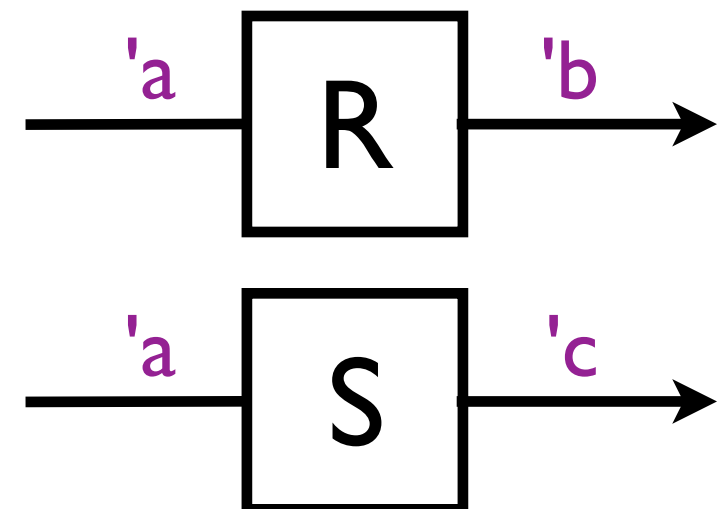
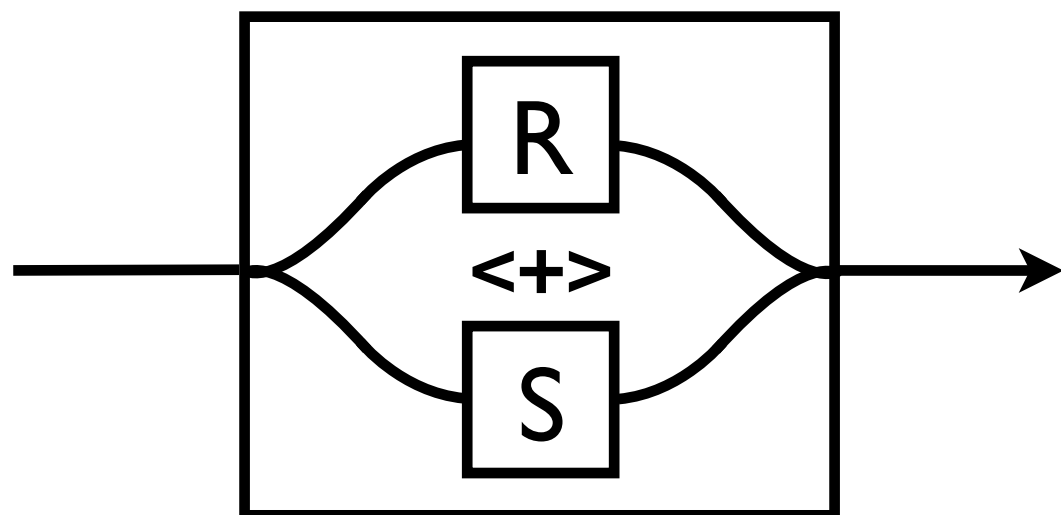
## Message passing



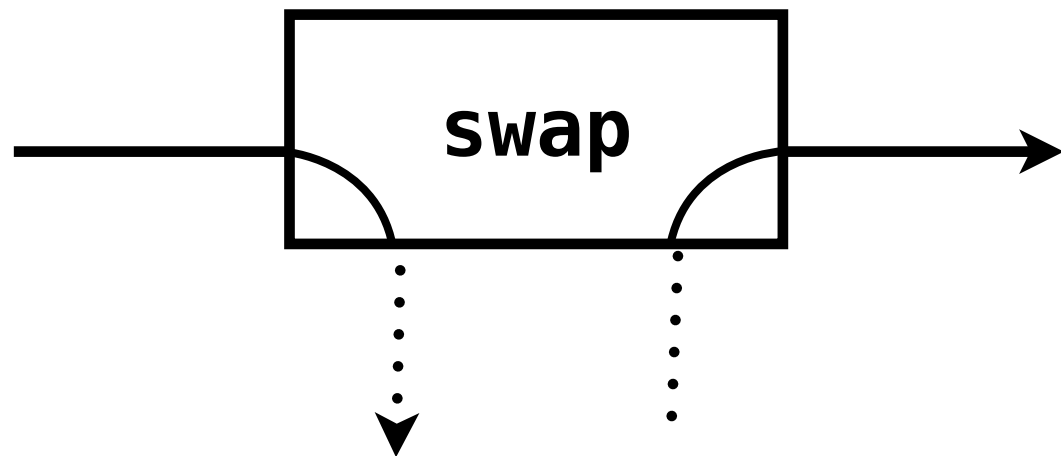
## Shared state



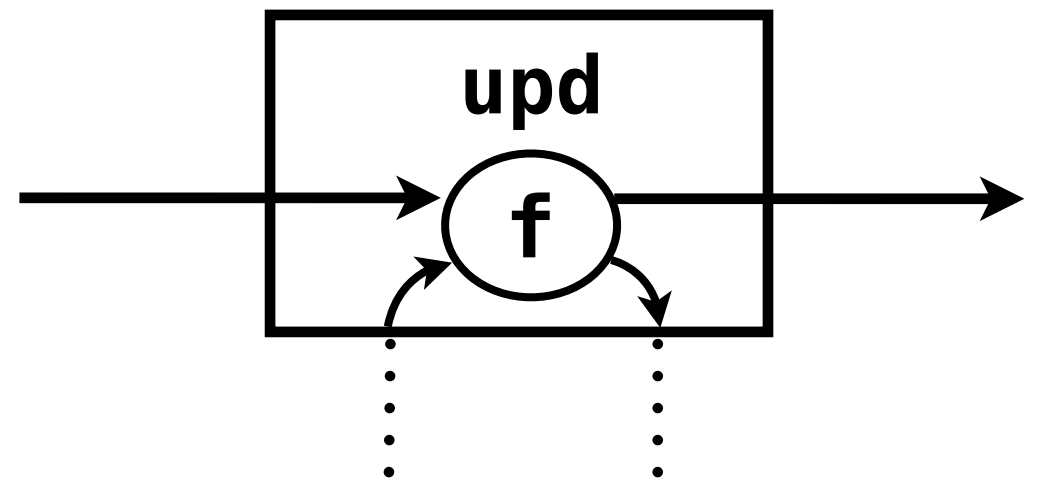
## Disjunction



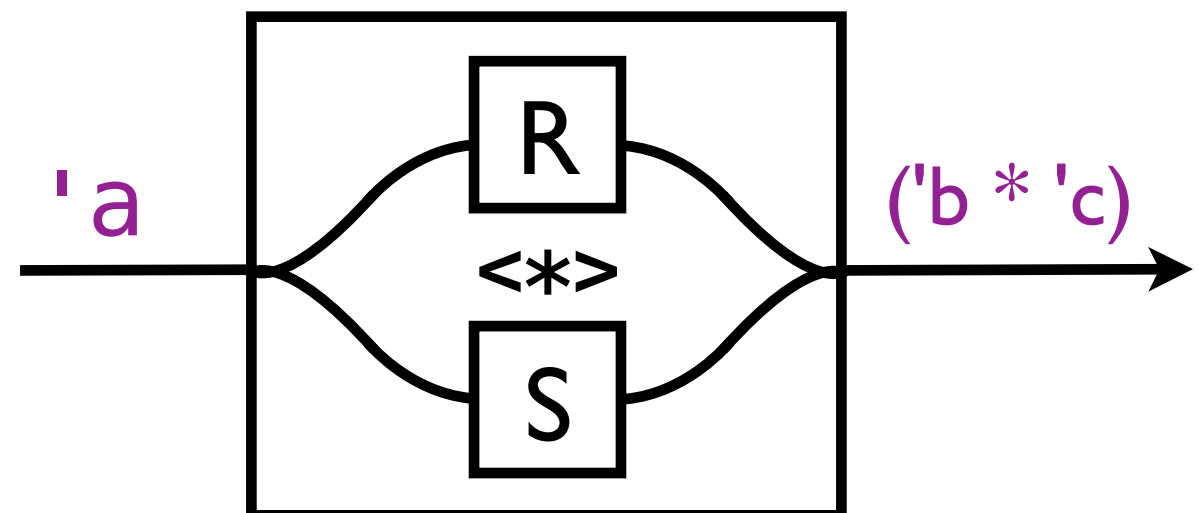
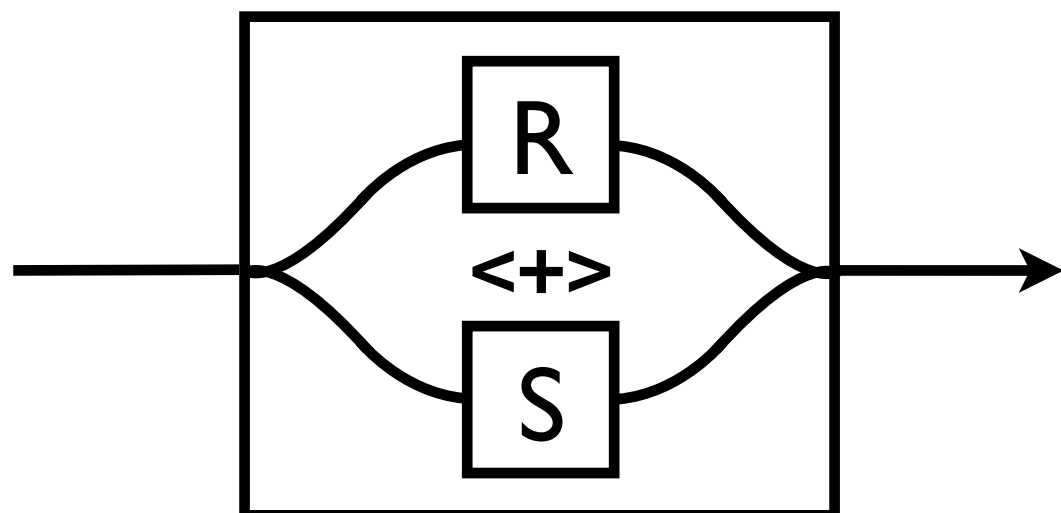
## Message passing



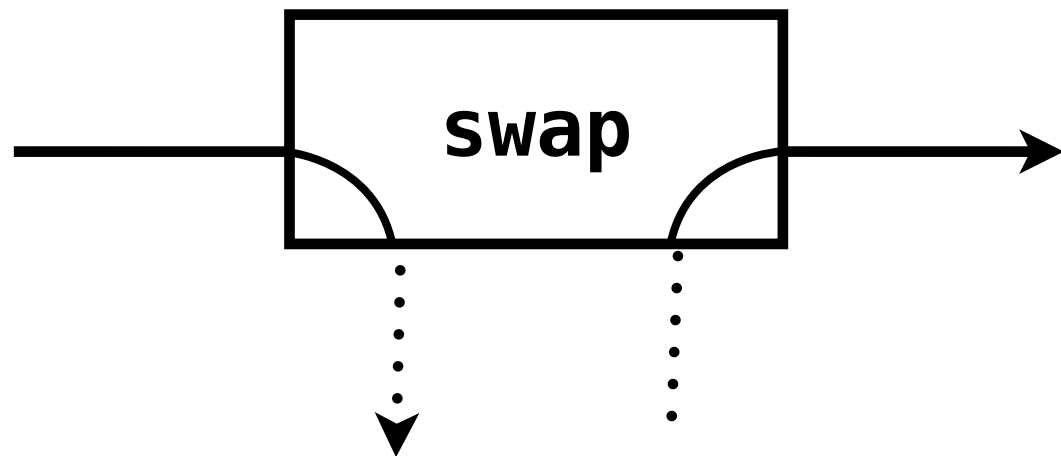
## Shared state



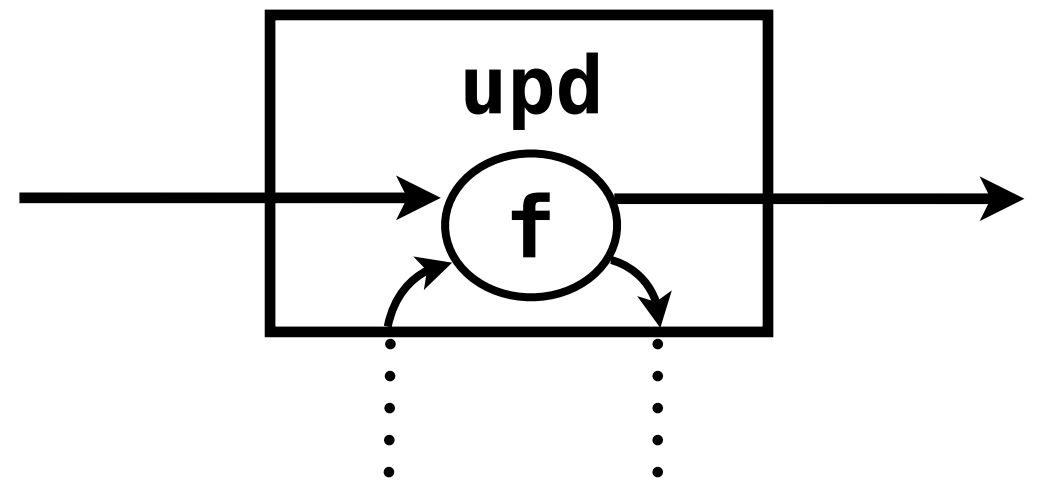
## Disjunction



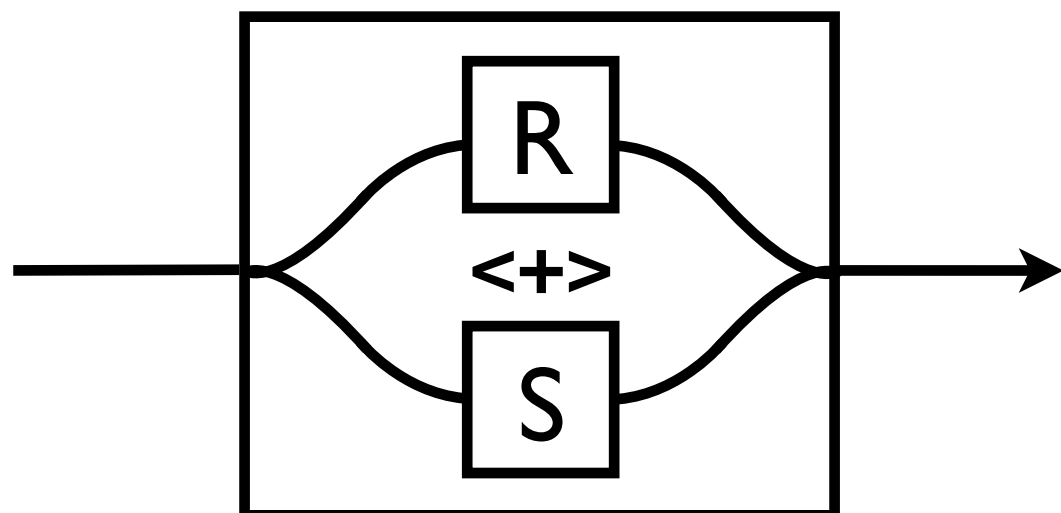
## Message passing



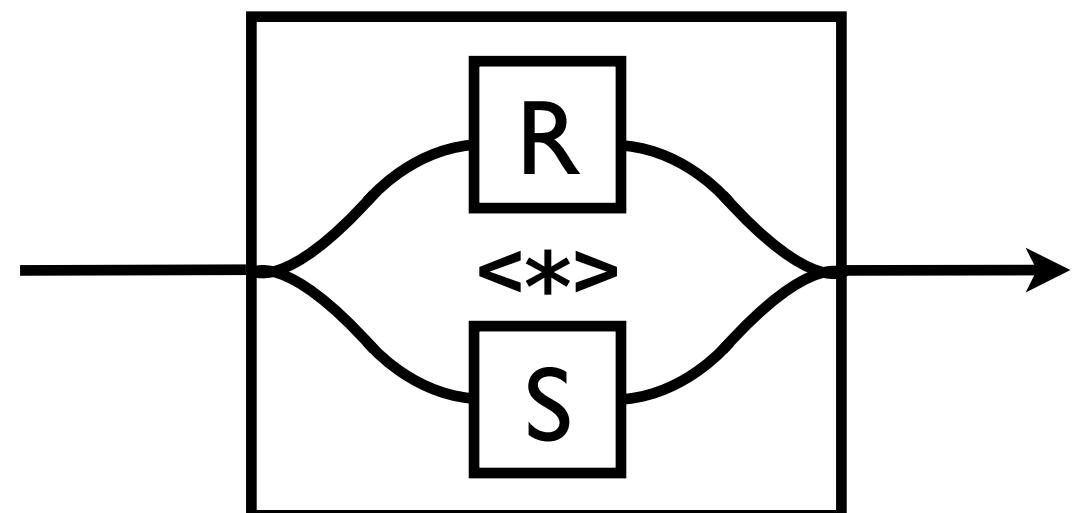
## Shared state



## Disjunction



## Conjunction



```

module type TREIBER_STACK = sig
  type 'a t
  val create   : unit -> 'a t
  val push     : 'a t -> ('a, unit) Reagent.t
  val pop      : 'a t -> (unit, 'a) Reagent.t
  ...
end

module Treiber_stack : TREIBER_STACK = struct
  type 'a t = 'a list Ref.ref

  let create () = Ref.ref []

  let push r x = Ref.upd r (fun xs x -> Some (x::xs, ()))

  let pop r = Ref.upd r (fun l () ->
    match l with
    | [] -> None (* block *)
    | x::xs -> Some (xs, x))
  ...
end

```

# Composability

Transfer elements atomically

```
Treiber_stack.pop s1 >>> Treiber_stack.push s2
```

# Composability

Transfer elements atomically

```
Treiber_stack.pop s1 >>> Treiber_stack.push s2
```

Consume elements atomically

```
Treiber_stack.pop s1 <*> Treiber_stack.pop s2
```



# Composability

Transfer elements atomically

```
Treiber_stack.pop s1 >>> Treiber_stack.push s2
```

Consume elements atomically

```
Treiber_stack.pop s1 <*> Treiber_stack.pop s2
```

Consume elements from either

```
Treiber_stack.pop s1 <+> Treiber_stack.pop s2
```

# Composability

Transform arbitrary **blocking** reagent to a **non-blocking** reagent

# Composability

Transform arbitrary **blocking** reagent to a **non-blocking** reagent

```
val lift      : ('a -> 'b option) -> ('a, 'b) t  
val constant : 'a -> ('b, 'a) t
```

# Composability

Transform arbitrary **blocking** reagent to a **non-blocking** reagent

```
val lift      : ('a -> 'b option) -> ('a, 'b) t  
val constant : 'a -> ('b, 'a) t
```

```
let attempt (r : ('a, 'b) t) : ('a, 'b option) t =  
  (r >>> lift (fun x -> Some (Some x)))  
  <+> (constant None)
```

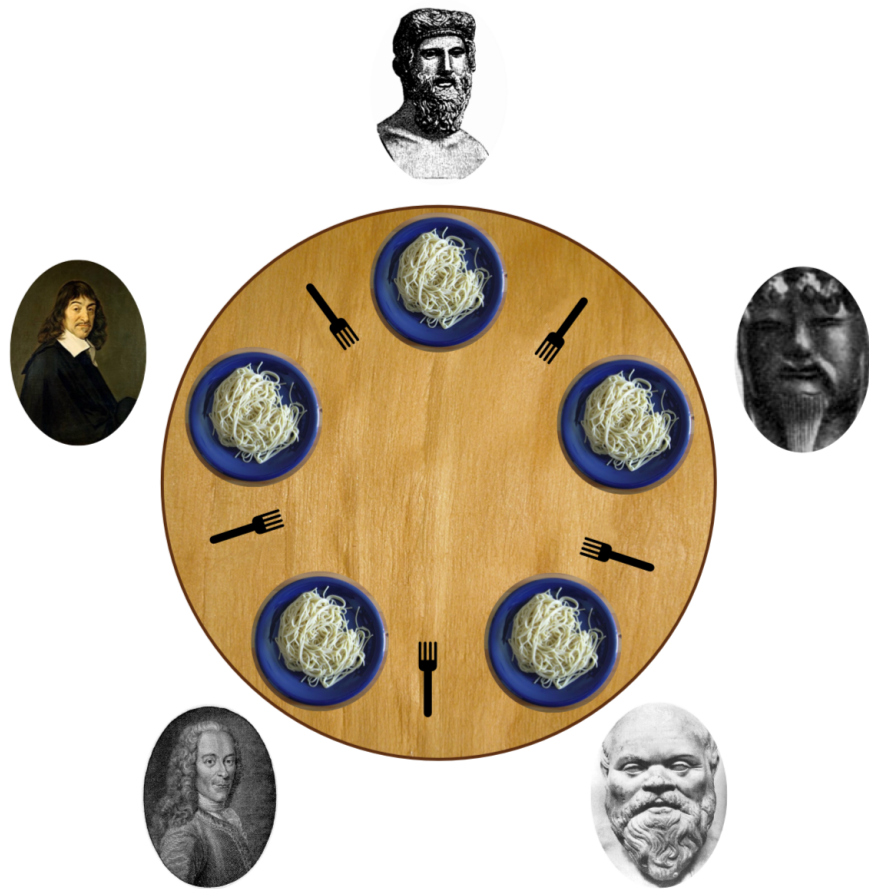
# Composability

Transform arbitrary **blocking** reagent to a **non-blocking** reagent

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val lift      : ('a -> 'b option) -> ('a, 'b) t  
val constant : 'a -> ('b, 'a) t
```

```
let attempt (r : ('a, 'b) t) : ('a, 'b option) t =  
  (r >>> lift (fun x -> Some (Some x)))  
  <+> (constant None)
```

```
let try_pop stack = attempt (pop stack)
```



- Philosopher's alternate between thinking and eating
- Philosopher can only eat after obtaining both forks
- No philosopher starves



- Philosopher's alternate between thinking and eating
- Philosopher can only eat after obtaining both forks
- No philosopher starves

```
type fork =  
  {drop : (unit,unit) endpoint;  
   take : (unit,unit) endpoint}  
  
let mk_fork () =  
  let drop, take = mk_chan () in  
  {drop; take}  
  
let drop f = swap f.drop  
let take f = swap f.take
```



- Philosopher's alternate between thinking and eating
- Philosopher can only eat after obtaining both forks
- No philosopher starves

```

type fork =
  {drop : (unit,unit) endpoint;
   take : (unit,unit) endpoint}

let mk_fork () =
  let drop, take = mk_chan () in
  {drop; take}

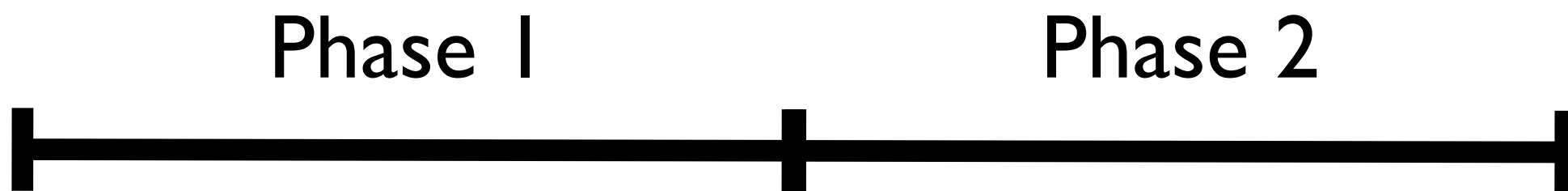
let drop f = swap f.drop
let take f = swap f.take
  
```

```

let eat l_fork r_fork =
  run (take l_fork <*>
       take r_fork) ();
  (* ...
   * eat
   * ... *)
spawn @@ run (drop l_fork);
spawn @@ run (drop r_fork)
  
```

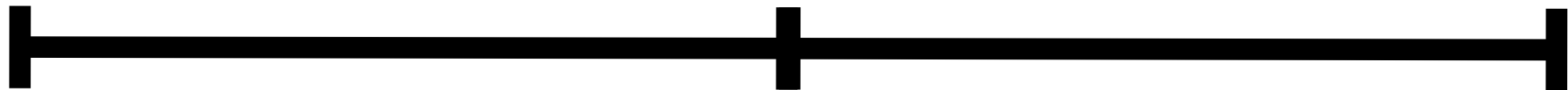


# Implementation



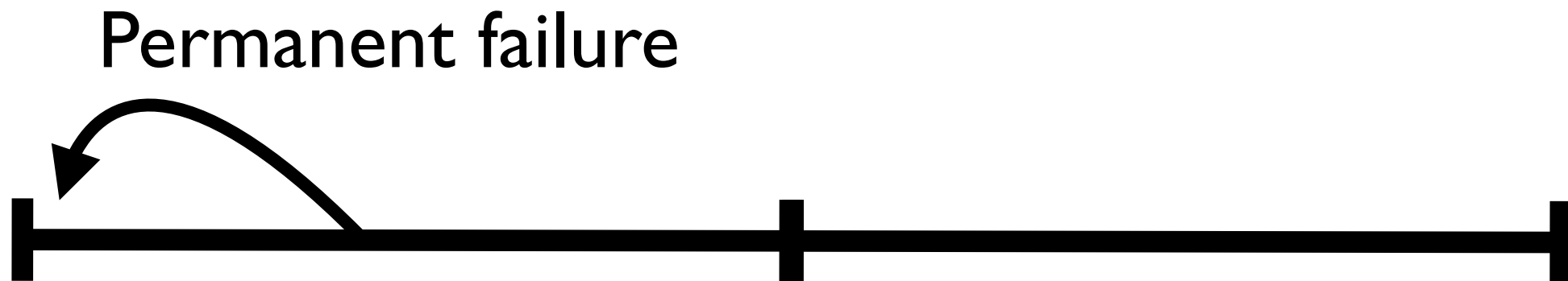






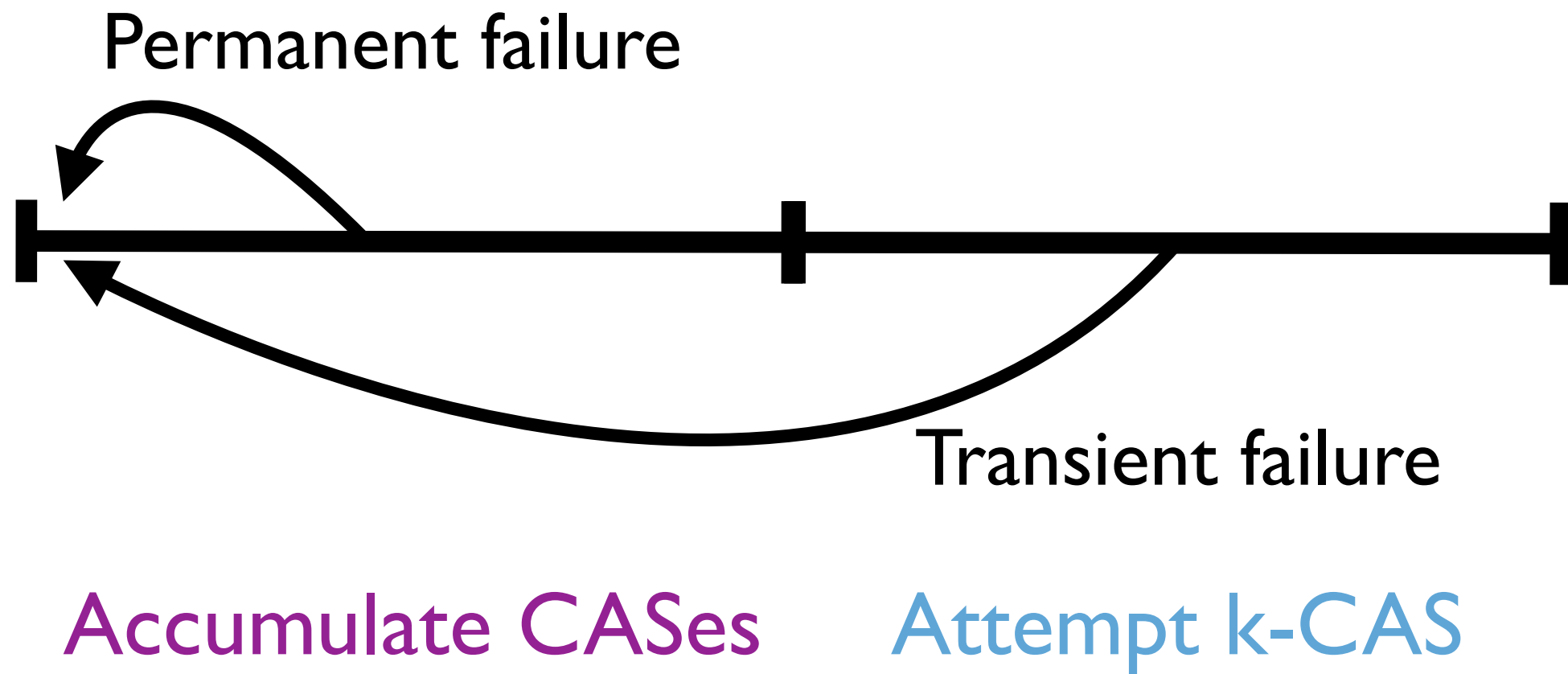
Accumulate CASes

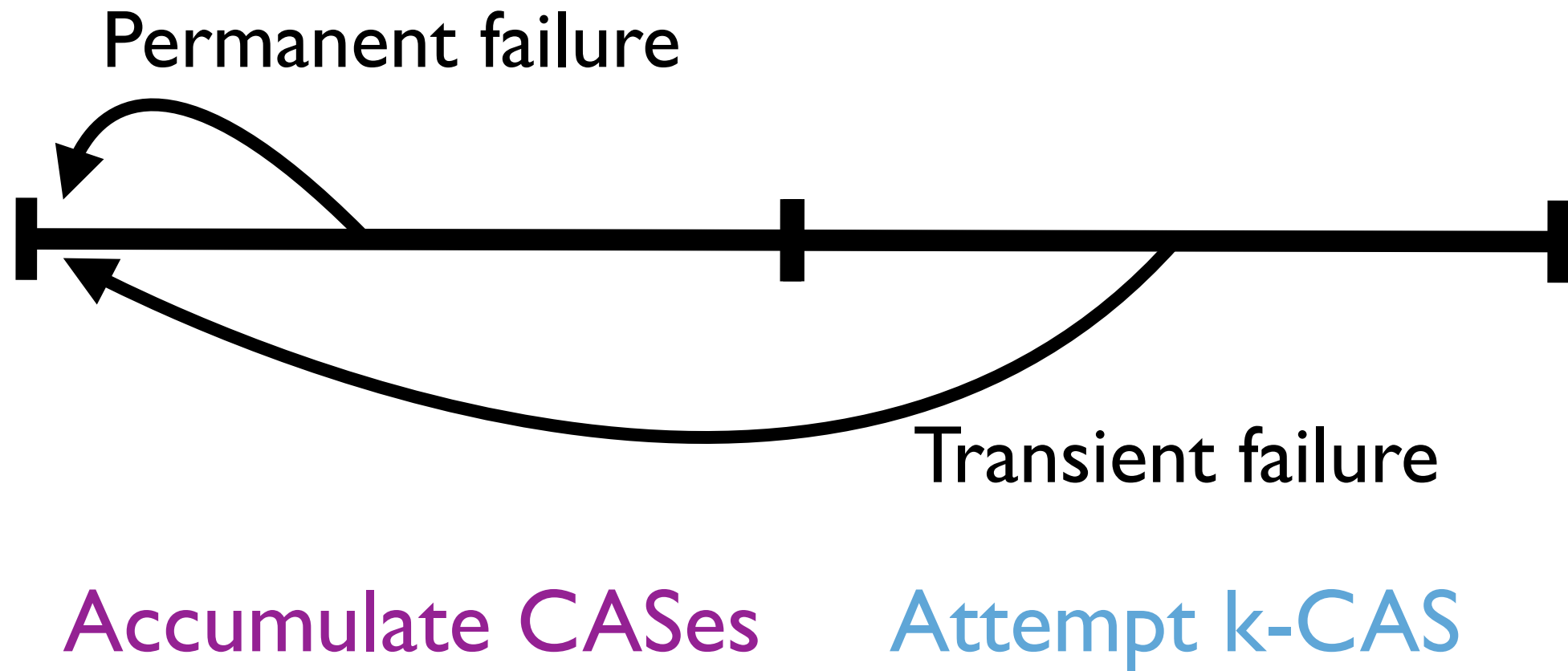
Attempt k-CAS



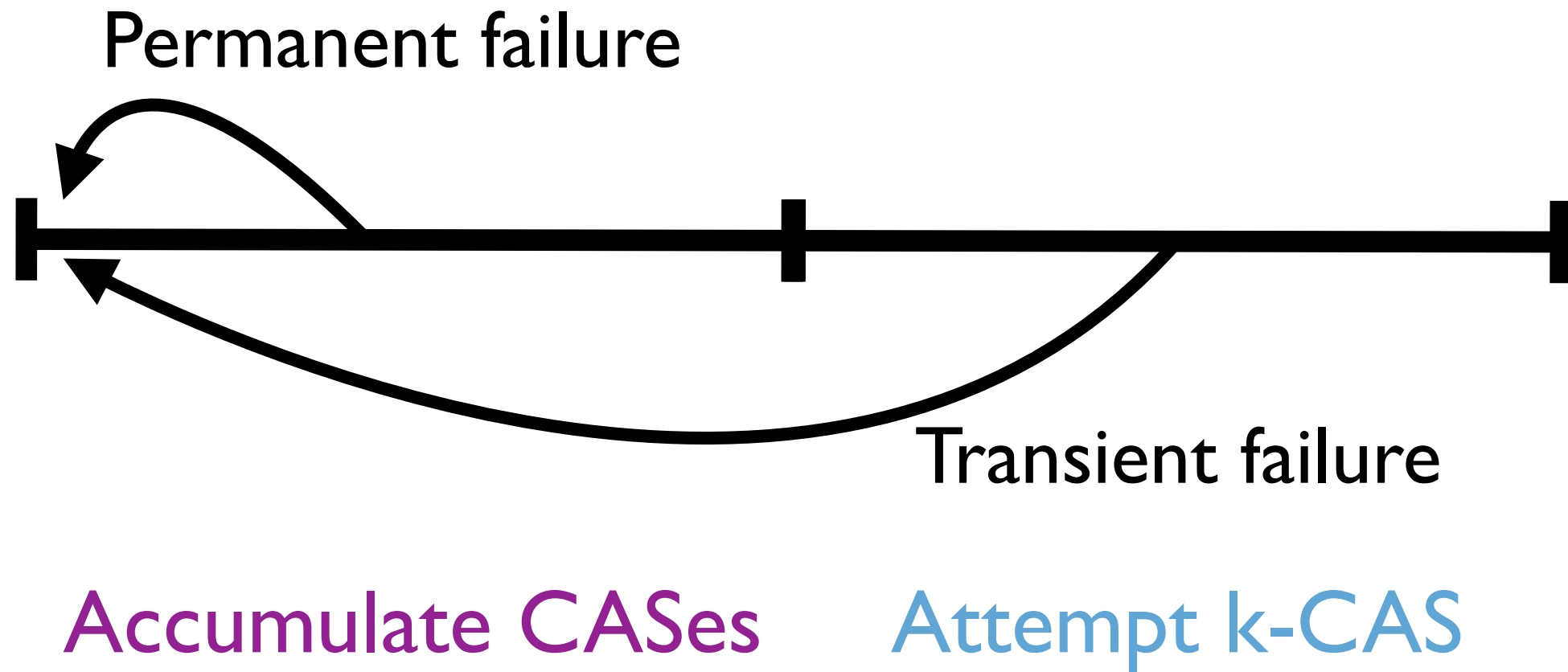
Accumulate CASes

Attempt k-CAS

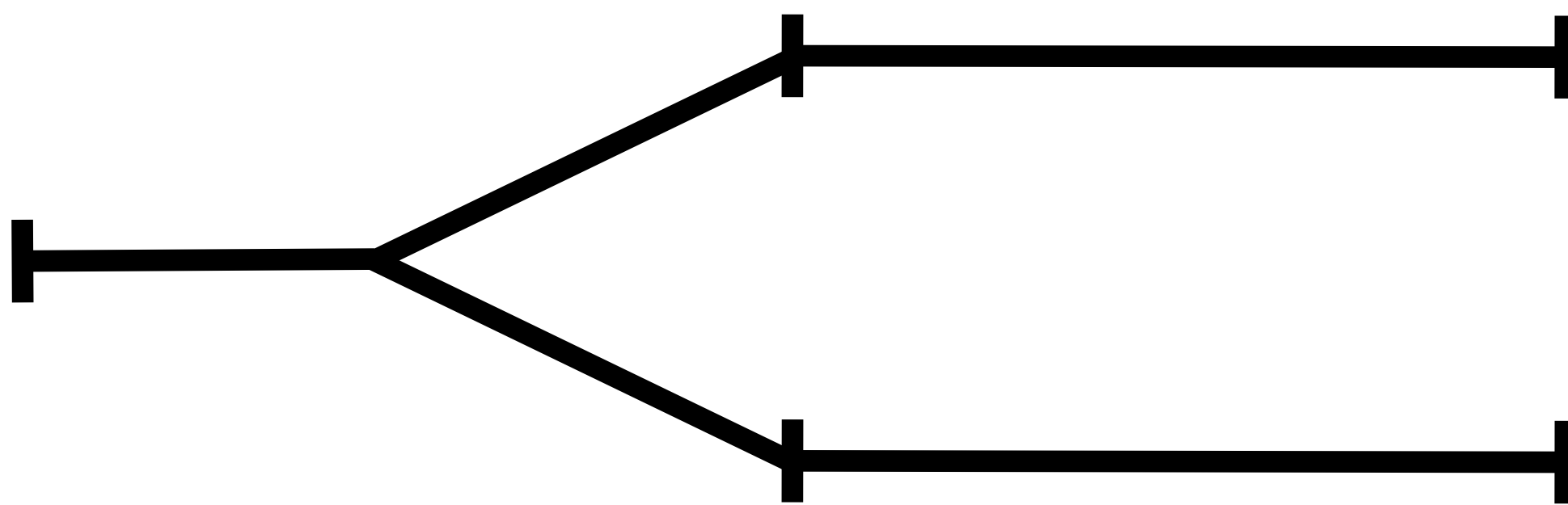




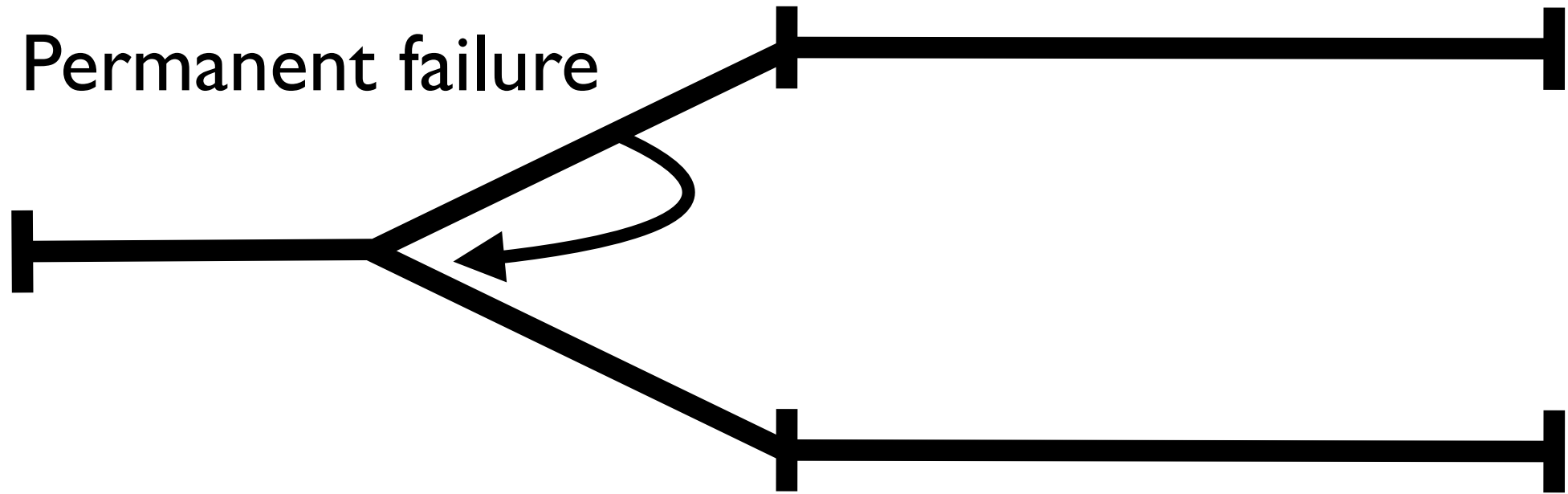


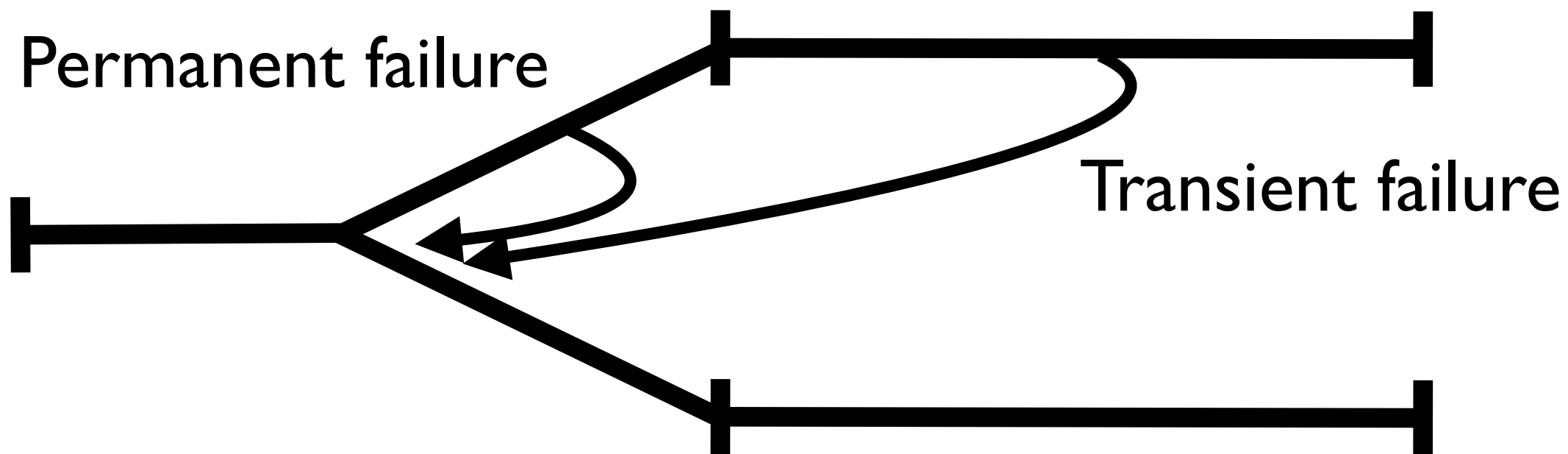


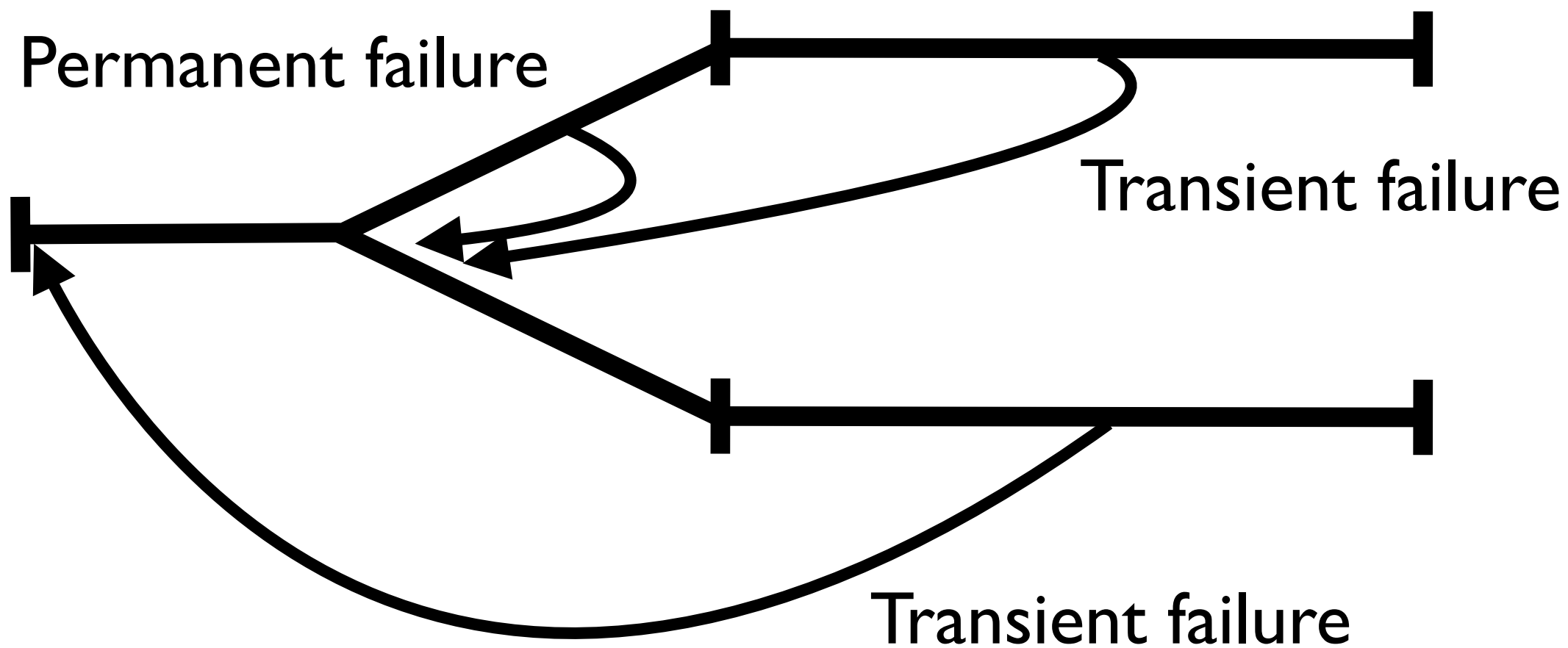
*Promising early results with Intel TSX!*

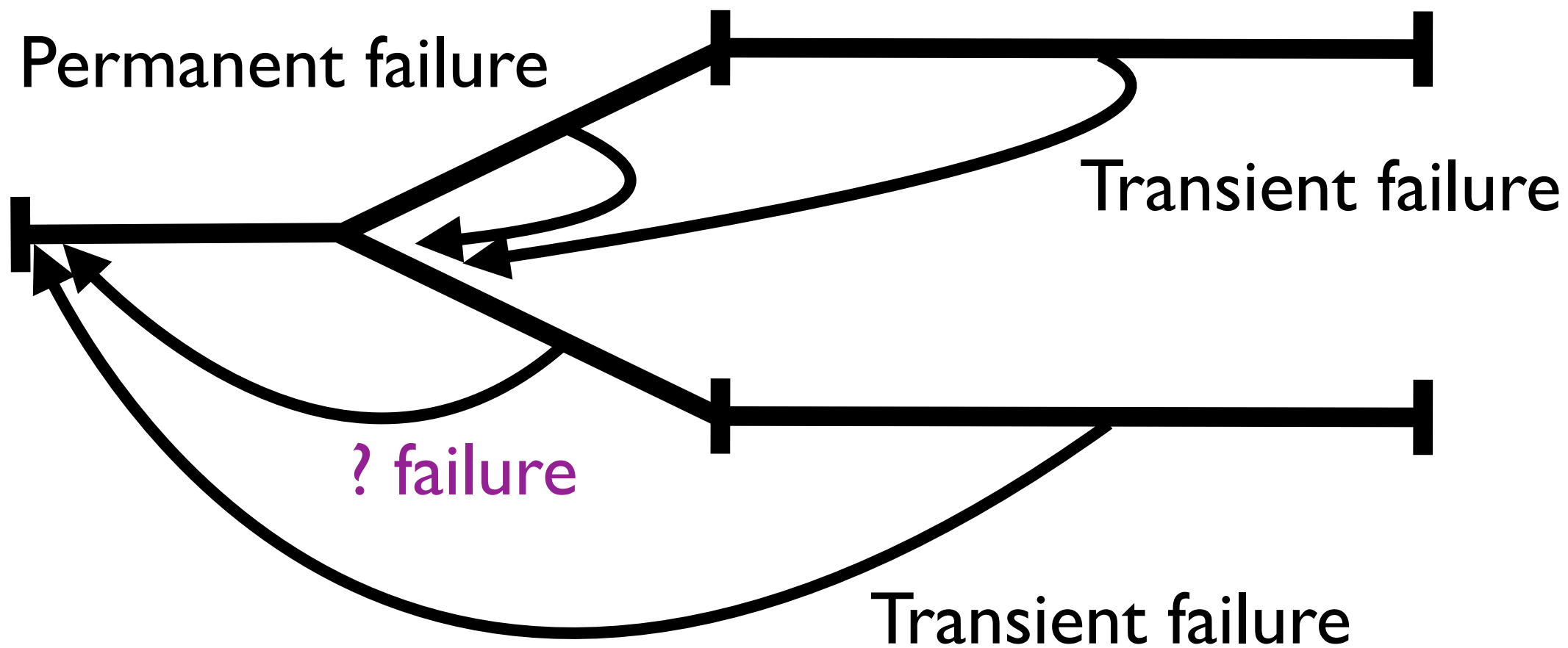


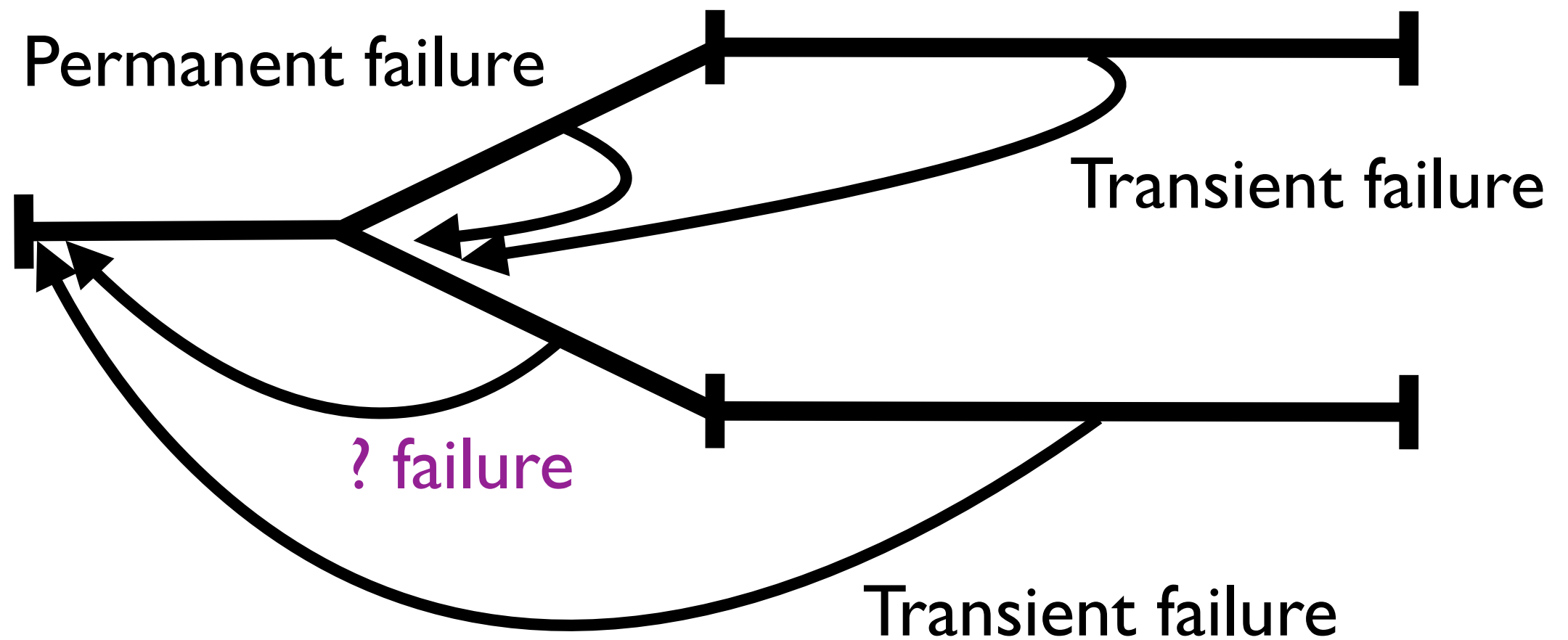
x











$P \ \& \ P = P$

$T \ \& \ T = T$

$P \ \& \ T = T$

$T \ \& \ P = T$

# Status

## Synchronization

- Locks
- Reentrant locks
- Semaphores
- R/W locks
- Reentrant R/W locks
- Condition variables
- Countdown latches
- Cyclic barriers
- Phasers
- Exchangers

## Data structures

- Queues
  - Nonblocking
  - Blocking (array & list)
  - Synchronous
  - Priority, nonblocking
  - Priority, blocking
- Stacks
  - Treiber
  - Elimination backoff
- Counters
- Dequeues
- Sets
- Maps (hash & skiplist)

<https://github.com/ocamlabs/ocaml-multicore>

<https://github.com/ocamlabs/reagents>



# Questions?

# STM vs Reagents

- STM is more ambitious — atomic { ... }. Reagents are conservative.
- Reagents = STM + Communication
- Reagents don't allow multiple writes to the same memory location.
- Reagents are lock-free. STMs are typically obstruction-free.